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### (54) Title: METHOD AND APPARATUS FOR SEALING IMPLANTABLE, MEMBRANE ENCAPSULATION DEVICES

### (57) Abstract

A sealed, implantable, encapsulation device (20) for diffusing a biologically active product or function to an individual which includes a substantially non-porous fitting (32) including an inner surface (33) defining an access port (34). A permselective, porous, membrane (21), having an interior surface (22), cooperates with the fitting inner surface (33) to form a storage cavity (23) therebetween. The membrane interior surface (22) is in substantially cell-tight dry sealing engagement with fitting (32) to seal cavity (23). Living cells (24) are disposed in the cavity (23) which are capable of secreting the biologically active product to an individual. The membrane (21) is of a material capable of permitting the passage of substances between the individual and cells required to provide the biological product or function. A plug member (35) is positioned in the access port (34) and seated in cell-tight sealing engagement with the fitting inner surface (33). A method for sealing the implantable encapsulation device (20) is also provided.

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# METHOD AND APPARATUS FOR SEALING IMPLANTABLE, MEMBRANE ENCAPSULATION DEVICES

### TECHNICAL FIELD

5 The present invention relates, generally, to implantable, membrane encapsulation apparatus capable of infusing therapeutic agents and, more particularly, to methods and devices for sealing hollow membrane cell encapsulation apparatus.

### 10 BACKGROUND ART

The encapsulation of viable cells which produce biologically-active factors has experienced substantial growth and increased interest in recent years. special implantable, encapsulating devices are capable 15 of providing a vast array of biological functions and services. For example, biologically active therapeutic living agents of cells, such as enzymes, neurotransmitters. blood coaqulation factors, lymphokines, cytokines, nerve growth factors, trophic 20 factors such as neurotrophic factor, hormones and angiogenesis factors, may be continuously diffused into a host for therapeutic purposes. In other instances, these agents may be employed for diagnostic purposes. For example, the implanted cells could react to excrete 25 some measurable product or the like in response to a particular physiological condition.

After considerable research, two general encapsulation approaches have evolved. One approach involves the manufacture of an encapsulating membrane around the viable cell cultures. Usually, microcapsules or 5 microspheres, encapsulating a microscopic droplet of cell solution, are provided which are integral structures not generally requiring post-production sealing. This approach is disclosed in U.S. Patent Nos.: 4,353,888 to Sefton and 4,352,833 to Lim; and European Patent No. 188,309 to Rha. One problem with these devices is that they are limited in volume, difficult to manufacture, implant and retrieve, and often suffer from limited biocompatibility.

Another encapsulation approach involves the use of 15 macroencapsulation devices defining a cell suspension reservoir or lumen formed to hold the cell culture solution therein. These devices provide a much greater. cell solution volume and are substantially easier to handle in both implantation and retrieval. One technique of fabricating a macroencapsulating device involves the coextrusion of an aqueous cell culture and a polymeric solution which forms a tubular extrudate having a polymeric outer coating encapsulating the viable cell solution. In some instances, the cell 25 culture is fully encapsulated during the integral fabrication thereof, while in other instances, postproduction sealing of the lumen is required. Typical of these coextrusion devices may be found in U.S. Patent No. 5,158,881 to Aebischer et al.

Another macrocapsule fabrication technique includes providing an elongated hollow fiber macroencapsulation structure which is subsequently loaded with the implantable cell cultures. In this approach, the hollow fiber macrocapsule is fabricated with one or more openings to the cell solution reservoir or lumen

for cell loading, which subsequently must be sealed to fully encapsulate the cell cultures. Typical of these devices may be found in U.S. Patent No. 3,615,024 to Michaels.

5 Flatsheet encapsulation devices are also employed which generally include two flatsheet membranes encapsulating the cells therebetween to form an encapsulating sandwich. Both the cylindrical hollow configuration and the flatsheet configuration provide 10 a more favorable ratio (as compared to a sphere) between the surface area of the membrane and the volume of encapsulated tissue. In macrocapsules of these shapes, as the volume of the device is increased in order to contain greater amounts of encapsulated tissue, the corresponding surface area of the membrane increases more proportionately such diffusional transport of nutrients and products for increased amounts of tissue can be accommodated by increasing the surface area without unwieldy increases in total vehicle size. 20

These encapsulating membrane devices are generally comprised of thermoplastic polymer or copolymer membranes which exhibit characteristics insolubility and biocompatibility. This membrane 25 material must be permselective to select therapeutic agents and cell nutrients, yet be impermeable to the cells producing those agents. Upon deposition or loading of the culture solution in the lumen of the hollow fiber, moisture infiltrates throughout the membrane and become trapped in the pores. Accordingly, the inner surface wall of the fiber defining the opening into the lumen becomes "wet" regardless of whether or not there has been direct contact with any of the aqueous cell solution. Hence, "wet" sealing 35 techniques must be applied to seal the loading

openings. The nature of the pores are such that moisture is drawn in by capillary action. In the case of narrow diameter fiber devices, capillary action within the fiber lumen further serves to distribute water and contaminants throughout the length of the fiber.

Traditional approaches to wet sealing thermoplastic encapsulation devices include the employment of polymer adhesives and/or crimping, knotting and heat sealing. Examples of these wet sealing techniques may be found in the following publications: J. Altman et al., "Successful Pancreatic Xenografts Using Semipermeable Membrane", 5 Artificial Organs (Suppl.) 776 (1981) (Polyvinylchloride acrylic XM50 copolymer tubing biocompatible epoxy or cyacrylate glue); J. Altman et 15 "Long-Term Plasma Glucose Normalization Experimental Diabetic Rats With Macroencapsulated Implants of Benign Human Insulinomas", 35 Diabetes 625, (1986) (poly(acrylonitrile-co-vinyl-chloride) (PAN/PVC) copolymer glue in solvent); B. Dupuy et al., "In Situ 20 Polymerization of a Microencapsulating Medium Round Living Cells", 22 J. Biomed. Materials Res. 1061 (1988) (Photopolymerization of membranes around cells): W. Hymer et al., "Pituitary Hollow fiber Units In Vivo and 25 In Vitro", 32 Neuroendocrinology 33 9 (1981) (PAN/PVC fibers syringe loaded, crimping with heated forceps); Iwata et al., "The Use of Photocrosslinkable Polyvinyl Alcohol in the Immunoisolation of Pancreatic Islets", 22 Transplant Proceedings 797 (April 1990) 30 (Production of encapsulated cells usina photocrosslinkable hydrogel); Y. Kojima et "Xenogeneic Pancreatic Islet Transplantation Using a Millipore Diffusion Chamber", 19 Transplant Proceedings 981 (February 1987) (Millipore MF cement); P. Lamberton 35 et al., "Use of Semipermeable Polyurethane Hollow Fibers for Pituitary Organ Culture", 24 In vitro

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Cellular & Developmental Biology 500 (June 1988); C. Lum et al., "Intraperitoneal Nucleopore Chambers: a Murine Model for Allograft Rejection", 20 Transplant Proceedings 173 (April 1988) (Nucleopore membranes 5 attached with silicone sealant; Millipore MF cement); S. Ronel et al., "Macroporous Hydrogel Membranes for a Hybrid Artificial Pancreas", 17 J. Biomed. Materials Res. 855 (1983) (Pressure/heat sealing of hydrogel encapsulation devices); N. Theodorou et al., "Problems 10 in the Use of Polycarbonate Diffusion Chambers for Syngeneic Pancreatic Islet Transplantation in Rats", 18 Diabetologia 313 (1980) (Polycarbonate filters sealed with polyacrylic cement); F. Wong et al., "Effects of Thymus Enclosed in Millipore Diffusion Envelopes on Thymectomized Hamsters", 28 Blood 40 (1966); and G. Zondervan et al., "Design of a Polyurethane Membrane for the Encapsulation of Islets of Langerhans", 13 Biomaterials 136 (1992) (Polyurethane tubing sealed by knotting).

While these conventional methods of "wet" sealing may be adequate for laboratory experimentation or for short term usage, their longterm performance has often been inconsistent or unreliable. Potentially, these devices may be implanted in their host for months or years.

Due to nature of the fiber membrane material, to be discussed henceforth, the seal is often breached following implantation. This problem occurs on a consistent basis even when the method of sealing involves the same polymer solvent pair that was used to manufacture the encapsulating device.

Because of the porous nature of the membrane fiber material, moisture, cells, protein, polymers or the like contained in the cell culture solution become trapped in the pores of the membrane. As mentioned, the inner surface wall of the fiber defining the

opening into the lumen becomes "wet" regardless of whether there is direct contact with the aqueous cell solution. Most common adhesives for this application, e.g., urethanes or thermoplastic adhesives, such as a 5 PAN/PVC dissolved in the water-miscible solvent dimethylsulfoxide (DMSO), require relatively membranes to form a suitable seal and bond. instance, exposure to the moisture causes the thermoplastic adhesive to precipitate, 10 preventing adequate bonding to the wall of the fiber. In another instance, both protein and polymers present in the cell culture solution compete with the fiber present for gluing sites resulting in a contamination of the adhesive; thus preventing effective cross-15 linking in some areas. Hence, seal integrity is substantially degraded.

On the other hand, mechanical deformation (i.e., crimping or knotting), as well as heat sealing, tend to substantially weaken or crack the membrane over time.

20 Due to the relative fragility of the membrane material, even a slight shearing force may fracture the membrane and render the device useless.

### DISCLOSURE OF INVENTION

Accordingly, it is an object of the present invention 25 to provide a method and apparatus for sealing implantable, hollow fiber encapsulation devices which maintain a longterm, cell-tight, seal integrity.

Another object of the present invention is to provide a method and apparatus for sealing loaded encapsulation 30 devices which forms a reliable "dry" seal before and after cell loading.

Yet another object of the present invention to provide a method and apparatus for sealing implantable, hollow 15

fiber encapsulation devices which increases bonding of the adhesives to the fiber walls of the device.

It is another object of the present invention to provide a method and apparatus for sealing encapsulation devices without mechanically deforming the encapsulation device membrane surfaces.

Still another object of the present invention is to provide sealable entry ports for encapsulation devices, through which cell suspensions may be introduced into the device and subsequently reliably sealed.

It is a further object of the present invention to provide a method and apparatus for sealing implantable, encapsulation devices which is durable, compact, easy to maintain, has a minimum number of components and is economical to manufacture.

The present invention provides a sealed, implantable, encapsulation device for supplying a biologically active product or function to an individual. encapsulation device comprises a fitting including an 20 access port extending through the fitting from an outer surface to an inner surface. A permselective, porous, membrane having an interior surface cooperates with the fitting inner surface to define at least a substantial portion of a storage cavity therebetween. The membrane 25 being in substantially cell-tight dry engagement with an engaging surface of the fitting. Living cells are disposed in the storage cavity and are capable of secreting a biologically active product or of providing a selected biological function to an 30 individual. The membrane is formed to permit passage of substances between the individual and cells required to provide the biological product or function. A plug member cooperates with a bonding surface of the

fitting, proximate the access port, to form a celltight sealing engagement therewith to seal said access port.

another aspect of the present invention, 5 encapsulation device comprises a first permselective, porous, sheet membrane having a first interior surface, and a second permselective, porous, sheet membrane spaced-apart from the first membrane and having a second interior surface oriented to face the first 10 interior surface. A fitting is positioned between the first and the second membrane. The fitting is formed with an inner surface defining an access port extending through the fitting. The first membrane interior surface, the second membrane interior surface and the 15 fitting inner surface cooperating to define a storage cavity therein. The first sheet membrane and the second sheet membrane both being mounted to respective engaging surfaces of the fitting in substantially celltight dry sealing engagement therebetween. 20 member cooperates with a bonding surface of the fitting to form a cell-tight sealing engagement therewith to seal the access port and the living cells disposed in the storage cavity.

In yet another aspect of the present invention, a 25 method is disclosed for forming a sealed, implantable, hollow fiber membrane device for providing biologically active product or function to The method comprising the steps of a) individual. providing a permselective hollow, porous, membrane including an interior surface which defines a storage cavity and at least one open end into the cavity, and b) forming a cell-tight dry first seal at the open end of the membrane between the membrane and an engaging surface of a fitting. The fitting including an inner 35 surface defining an open bore extending into the

cavity. Next, c) the cavity is filled, through the open bore, with the living cell solution. The membrane permitting passage of substances between the individual and cells required to provide the biological product or function. After filling, d) a cell-tight second seal is formed at the fitting open bore by providing a plug member formed to cooperate with a bonding surface of the fitting to form a cell-tight sealing engagement therewith.

another aspect of the present invention, 10 encapsulation device includes a generally flexible tubular fitting having a bonding surface and an abuttable surface. Upon the application of a solvent to both the bonding and the abuttable surfaces followed by the application of a washing fluid to the surfaces, these surfaces cooperate therebetween to form a celltight "wet" sealing engagement to seal the open bore upon contact of surfaces together. A method for forming this sealed, implantable, hollow membrane 20 encapsulation device is also provided which includes: (a) providing a permselective hollow, porous, membrane; and (b) forming a cell-tight, first dry seal at the open end of the membrane between the membrane and an engaging surface of a flexible fitting 32. The method further provides: (c) filling or depositing in the cavity, through the open bore, living cells capable of secreting a biologically active product or of providing a selected biological function to an individual; and (d) forming a cell-tight second seal at the fitting 30 open bore. This second seal is formed by: 1) exposing both the bonding surface and the abuttable surface of to a solvent; 2) after the exposing step, washing the bonding surface and the abuttable surface with a washing fluid; and 3) after the washing step, contacting the bonding surface and the abuttable 35

surface together to form the cell-tight second seal to seal open bore.

### BRIEF DESCRIPTION OF THE DRAWING

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The assembly of the present invention has other objects 5 and features of advantage which will be more readily apparent from the following description of the Best Mode of Carrying Out the Invention and the appended claims, when taken in conjunction with the accompanying drawing, in which:

10 FIGURES 1A-1C are a series of side elevation views, in cross-section, of a sealing implantable, hollow membrane encapsulation device constructed in accordance with the present invention having a detachable necked hub assembly and illustrating the filling and sealing of the encapsulation device.

FIGURE 2 is an enlarged, fragmentary, side elevation view, in cross-section, of the membrane encapsulation device taken substantially along the bounded line 2-2 in FIGURE 1B and showing an annular fitting mounted to an end of a hollow membrane.

FIGURE 3 is an enlarged, top perspective view, partially broken away, of the necked hub assembly of FIGURE 1A.

- FIGURES and 4B are a series of enlarged, fragmentary, side elevation views, in cross-section, of alternative embodiment of the hollow encapsulation device having a polyurethane tube fitting.
- 30 FIGURES 5A and 5B series are a of fragmentary, side elevation views, in cross-section, of an alternative embodiment of the present invention

having a deformable silicone tubing sealed by a ball shaped plug member.

FIGURE 6 is an enlarged, fragmentary, side elevation view, in cross-section, of an alternative embodiment of 5 the present invention illustrating a fitting molded to the end of the hollow membrane.

FIGURE 7 is an enlarged, fragmentary, side elevation in cross-section, of another alternative embodiment of the encapsulating device employing a 10 threaded allen screw as a plug member.

FIGURE 8 is an enlarged, fragmentary, side elevation view, in cross-section, of yet another alternative embodiment of the present invention employing a conical plug member.

FIGURE 9 is an enlarged, side elevation view, in cross-15 section, of still another alternative embodiment of the hollow membrane encapsulation device employing a polymeric elasticity memory material fitting.

FIGURE 10 is an enlarged, side elevation view, 20 cross-section, of another alternative embodiment of the polymeric elasticity memory material fitting having a tubular tether portion.

FIGURE 11 is an enlarged, side elevation view, in cross-section, of another alternative embodiment of the polymeric elasticity memory material fitting having a memory material cap member.

FIGURE 12 is an exploded top perspective view of a flat sheet encapsulation device constructed in accordance with the present invention.

FIGURE 13 is an enlarged, fragmentary, side elevation view, in cross-section, of the flat sheet encapsulation device of FIGURE 12 and illustrating a molded edge.

FIGURE 14 is a side elevation view, in cross-section, of a cup-shaped hollow membrane encapsulation device of the present invention having a detachable necked hub assembly and illustrating the filling of the device with a hypodermic syringe needle.

FIGURE 15 is an enlarged, fragmentary, side elevation view, in cross-section, of a hollow membrane encapsulation device of the present invention having a self-sealable fitting and illustrating the filling of the device with a hypodermic syringe needle.

FIGURE 16 is an enlarged, fragmentary, side elevation view, in cross-section, of a hollow membrane encapsulation device of the present invention having a fitting formed of adhesive which bonds to a cured bead of adhesive cell-tight "dry" sealed to the membrane.

FIGURE 17 is a top perspective view, partially broken 20 away, of an encapsulation device of the present invention having a tether connector for mounting the tubular tether portion to the hub.

FIGURES 18A and 18B are a series of side elevation views, in cross-section, of a hollow membrane encapsulation device of the present invention having flexible fittings each having opposed surfaces formed to bond to one another in a cell-tight sealed engagement.

### BEST MODE OF CARRYING OUT THE INVENTION

30 The following description is presented to enable a person skilled in the art to make and use the

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invention, and is provided in the context of a particular application and its requirements. Various modifications to the preferred embodiment will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments and applications without departing from the spirit and scope of the invention. Thus, the present invention is not intended to be limited to the embodiments shown, but is to be accorded with the widest scope consistent with the principles and features disclosed herein. It will be noted here that for a better understanding, like components are designated by like reference numerals throughout the various figures.

Attention is now directed to FIGURES 1(A-C) and FIGURE the subject sealed, implantable. encapsulation device, generally designated 20, for diffusing a biologically active product or function to an individual is illustrated. Briefly, the present device includes a fitting, generally designated 32, having an access port or open bore 34 extending through fitting 32 from an outer surface 39 of the fitting to an inner surface 48 of the fitting. A permselective, porous, membrane, generally designated 21, having an interior surface 22, cooperates with the fitting inner 25 surface to form at least a substantial portion of a storage cavity or lumen, generally designated 23, That is, fitting inner surface 48 and therebetween. membrane interior surface 22 together define substantial portion or all of storage cavity 23. accordance with the present invention, membrane 21 is in substantially cell-tight dry sealing engagement with an engaging surface 43 of fitting 32 to seal cavity 23. Living cells in cell culture solution 24 are disposed in the cavity which are capable of secreting a biologically active product or of providing a selected

biological function to an individual. Further, membrane 21 is formed to permit passage of substances between the individual and cells required to provide the biological product or function. A plug member, generally designated 35, cooperates with a bonding surface 38 of the fitting to form a cell-tight sealing engagement therewith to seal open bore or access 34.

In one particular configuration of the present invention (FIGURES 1-11), the permselective hollow 10 membrane substantially defines storage cavity or a lumen 23 therein in which the living cells in cell culture solution 24 are disposed. First and second sealing means 25 and 26, respectively, are provided at respective first and second ends 30 and 15 respectively, of membrane 21 to form a cell-tight lumen 23 therein. Second sealing means 26 includes fitting 32 having inwardly facing surface 33 which defines open As mentioned, an engaging surface 43 of fitting 32 is formed and dimensioned to be in a cell-20 tight, dry sealing engagement with membrane end 31. Plug member 35 cooperates with a bonding surface (fitting distal end bonding surface 38 and/or fitting inwardly facing surface 33) of the fitting to form a cell-tight sealing engagement therewith to seal open 25 bore 34.

In another particular configuration of the present invention (FIGURES 12 and 13), a flat encapsulation device 20 is provided including a first permselective, porous, sheet membrane, 30 designated 21a, having a first interior surface 22a, and a second permselective, porous, sheet membrane, generally designated 21b, spaced-apart from the first membrane and having a second interior surface 22b oriented in opposed relation to the first interior 35 surface 22a. Fitting 32 is positioned between the

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first and the second membrane (FIGURE 12) and is formed with inwardly facing surface 33 which defines access port 34 extending through the fitting. First membrane interior surface 22a, second membrane interior surface 22b and the fitting inner surface 48 cooperate to form storage cavity 23 which holds living cell solution 24 therein. The first interior surface 22a and the second interior surface 22b further both being mounted to the fitting in substantially cell-tight dry sealing engagement therebetween. Plug member 35 cooperates with bonding surface 38 of the fitting to form a cell-tight sealing engagement therewith to seal the access port and the living cells disposed in the storage cavity.

In accordance with the present invention, before cell culture solution 24 may be filled into the lumen or storage cavity of either particular configuration, a reliable, cell-tight, "dry" seal is formed between fitting 32 and the porous, permselective membrane 21. 20 First, the seal is "cell-tight" which means that the seal is impermeable to the viable cells contained in the solution, similar to fiber membrane 21, so that they will not pass therethrough and into the host. Yet, the seal may be permeable to the other cell solution constituents, such as nutrients, therapeutic 25 agents or the like. Secondly, it will be understood that the term "dry" seal is defined as a seal formed between a substantially moisture or water-free membrane and the substantially annular fitting 32 of the second sealing means 26. Since this "dry" seal technique is 30 employed before potential contamination by the cell solution, it is considerably more reliable than the "wet" seal techniques. A dry semipermeable membrane is afforded the opportunity to suitably bond to a dry 35 surface of the fitting. Accordingly, the absence of

moisture or water in the pores of the membrane, caused

by contact with the solution, substantially reduces seal failure, e.g., precipitation of the adhesives employed. Further, the absence of cell solution elements decreases contamination of the adhesive so that it may effectively bond between the opposing adhesive sites surfaces.

This can be contrasted to the "wet" seal technique employed in most of the prior art fiber devices after the lumen has been filled or loaded with the viable cell solution. As mentioned, one of the problems associated with the prior art implantable, hollow fiber devices is their inability to form reliable seals with or bond to a "wet" membrane surface at the open end thereof due to contamination by the cell solution.

Subsequently, as shown in FIGURE 1A for example, the cell culture solution may be disposed or deposited, through open bore 34 in fitting 32, in a manner to be discussed in greater detail below. Since the annular fitting is substantially non-porous, it will not have 20 the tendency to absorb or trap the cell solution. thereupon. Even should the solution contact the bonding surface 38 or inwardly facing bonding surface 33 of the fitting, the solution can be easily removed in a suitable manner. For instance, a volatile, biocompatible solvent may be applied on a swab to wipe the fitting surface so that the surface becomes "dry" or free of the above-mentioned contaminates. When plug member 35 is bonded or attached to the top surface or inwardly facing surface 33 of fitting 32 (FIGURE 1C), another suitable "dry" bond may be attained which, again, is not subject to the bonding deficiencies experienced by the prior art assemblies.

Accordingly, the novel technique and structure of the present invention permits "dry" bonding of the membrane

to a fitting to form substantially cell-tight seals. This seal and bond, hence, remains integral for months or years after implantation in its host. Importantly, this technique is substantially non-toxic to the cells and will not effect their viability. The present invention, further, does not mechanically deform either the fragile membrane or the fitting to cause fatigue or stress. Moreover, as will be more apparent, the cell-tight "dry" seal may be formed through mechanical contact between the fitting and the membrane and/or through a suitable adhesive.

Referring back to FIGURES 1-11, the first particular configuration of the present invention will now be described in greater detail. Hollow membrane 21 and 15 first sealing means 25, which preferably provides no passages into lumen 23, are conventional structures well known in the field. First sealing means 25 may be formed at one end 30 of the hollow membrane in any traditional manner applied in the art (i.e., polymer 20 adhesives, and/or crimping, knotting and heat sealing). Hence, the manner in which both hollow membrane 21 and first seal 25 are formed do not constitute a novel feature of the present invention and are not claimed as such. However, it will be understood that first open end 30 of hollow fiber membrane 21 may be cell-tight dry sealed employing the fittings and the same techniques which cell-tight dry seal second open end 31. It will further be understood that hollow membrane 21 may be provided with only one open end 31, as best 30 viewed in FIGURE 14, extending into storage cavity 23. In this arrangement, hollow membrane 21 is cup-shaped and only open end 31 need be sealed. These cup-shaped membranes may be formed using a capsule extrusion method disclosed in our PCT Application, W09300063. 35

As illustrated in FIGURE 2, annular fitting 32 includes a base portion 36 projecting outwardly from membrane second end 31 and a leg portion 37 extending downwardly from base 36. The outer perimeter of leg portion 37 is 5 formed and dimensioned to be received in lumen opening at second or opposing end 31. Upon receipt, a downwardly facing shoulder portion 40 of the fitting, formed by the intersection between base 36 and leg portion 37, seats against an upper annular edge 41 of second end 31. In this configuration, annular fitting is preferably substantially rigid and may composed of one of a number of suitable biocompatable materials which are substantially non-toxic to the living cells. These materials include polyurethanes, 15 epoxies, silicones, and acrylate polymers like alkaline methoacrylates, cyano acrylates, polymethyl methacrylate and poly((2-dimethylamino)ethyl methacrylate.

In a preferred form, an adhesive 42 (FIGURE 2) is 20 provided which forms the suitable above-defined "dry" seal and bond between the outer circumferential engaging surface 43 of leg portion 37 and the interior surface 22 of porous membrane 21. The adhesive must be a substantially rapidly polymerizing adhesive, to reduce potential toxic contamination of the cells by uncured adhesive, and must not discharge sufficient toxic by-products to be substantially detrimental to Hence, the adhesive must also cell viability. substantially polymerize completely. Suitable adhesives include light-curable acrylate polymer adhesive, two-part polyurethane adhesives, epoxies, silicones, and other acrylate polymers. instances, the adhesive could be polymerized in situ therewith, as opposed to precipitated, to form an 35 effective, durable polymer bond with the fitting.

After fitting 32 has been "dry" sealed to membrane 21, the encapsulation device may be sterilized by any conventional method which does not degrade the integrity of the membrane, such as ethylene oxide 5 (ETO).

Referring back to FIGURES 1A-1C, one preferred embodiment of the present invention is illustrated including a detachable necked hub assembly, generally designated 44, coupled to base 36 of the annular fitting by a frangible neck portion 55. Therefore, the hub assembly is capable of selective separation from the fitting upon breaking of the frangible neck portion 55 at a frangible region thereof.

As best viewed in FIGURE 3, hub assembly 44 includes a housing 45 which provides a passageway 46 positioned in axial alignment with open bore 34 of annular fitting 32. Hence, while annular fitting 32 is "dry" sealed to membrane 21, the lumen may be accessed through passageway 46 and open bore 34.

Passageway 46 includes a cavity portion 47 formed and 20 dimensioned to receive and seat a seal member therein. As will be discussed below, seal member 50 provides an access hole 49 extending therethrough, in coaxial alignment with passageway 46, which is formed 25 to permit the passage of a filling tube 54 (FIGURE 1A) therethrough for deposition of cell solution 24 into storage cavity 23. A cap member 51 is provided to be positioned over seal member 50 which is snap fit or snugly engaged with the vertical walls 52 forming 30 cavity 47 to snugly retain the cap member in cavity 47. seal 50, preferably silicone, will then be stably retained in cavity 47. Cap member 51 includes a port 53 extending therethrough which permits access to seal member access hole 49 and to passageway 46.

Housing 45 may be integrally formed with fitting 32 and may be fabricated using conventional machining or molding techniques. This housing may be composed of an acrylate polymer or the like.

In accordance with the present invention, as viewed in 5 FIGURE 1A, seal member 50 may be pierced by inserting filling tube 54 through port 53 and forcing it through seal member access hole 49, and thereon through passageway 46 until a distal end 73 of filling tube 54 abuts against an upwardly facing shoulder portion 74 of housing 45. This shoulder portion prevents the substantially blunt distal end 73 of filler tube 54 from penetrating open bore 34 and extending into cavity 23 where the filling tube distal end may cause damage to the membrane. Shoulder portion 74, as shown in 15 FIGURES 1 and 3, is formed from the intersection of passageway 46 and the smaller diameter open bore 34. Accordingly, the outer diameter of filling tube 54 is larger than the diameter of open bore 34 and smaller 20 than the diameter of passageway 46.

Seal member 50 is preferably composed of a resilient flexible material, such as silicone, which will permit a larger diameter filling tube 54 to be passed through smaller diameter access hole 49. The resiliency of seal member 50 creates a seal around the outer periphery of filling tube 54 sufficient to prevent contaminants from entering storage cavity 23 during filling thereof.

Subsequently, cell suspension 24 may be filled, injected or deposited into lumen 23 through filling tube 54 to a level just below the bottom of leg portion 37 of the fitting. Incidently, due to the porous nature of membrane 21, the volume of air already inside cavity 23 is displaced through the pores during

filling. Further, the cell suspension fluid or water also flows out of the pores which essentially concentrates the cell solution in the cavity.

The filling tube is then withdrawn, whereupon, the necked hub assembly may be selectably and manually separated from annular fitting 32 (FIGURE 1B). FIGURES 1A, 2 and 3 illustrate that neck portion 55 is inwardly inclined which facilitates selective separation of the hub assembly from the fitting by manually applying a shearing force thereto. Upon breaking the frangible neck portion 55 (FIGURE 1B) and separating the hub assembly 44, a virgin bonding surface 38 and the end of open bore 34 is exposed which subsequently must be sealed.

15 Exposed open bore 34 of the annular fitting may be closed or sealed using a light curable acrylate adhesive (e.g., Luxtrak™ LCM adhesives by ICI Resins U.S.) or other biocompatible adhesive to form plug member 35 which cooperates with fitting distal end 20 bonding surface 38 or inwardly facing surface 33 to form a cell-tight sealing engagement therewith to seal the open bore. In the light curable approach, a blue light may be employed which is not damaging to the viability of the cells.

The virgin portion of the bonding surface, which is created by the fracture of the neck portion, is sufficiently rough or jagged to enhance bonding of the adhesive thereto. Hence, as viewed in FIGURE 1C, an open bore seal plug member 35 of adhesive that covers open bore 34 is more securely bonded to bonding surface 38 in a manner forming another cell-tight, "dry" seal.

The hub assembly may be removed without causing the cell solution to wet or contaminate inwardly facing

surface 33 of open bore 34. However, should the solution wet the inwardly facing surface, it may be removed in most instances, as above-discussed, so that it becomes "dry". Furthermore, it will be appreciated 5 that the lumen may be filled by any conventional method which substantially prevents the cell solution from contacting inwardly facing surface 33 forming open bore 34 to which a "dry" seal is to be formed. For example, in an alternative approach, the filling tube may be provided by a hypodermic syringe needle 54, as shown in FIGURE 14, which pierces seal member or septum 50 by inserting syringe needle 54 through port 53, piercing septum 50, and thereon through passageway 46 and open bore 34 proximate lumen 23. Subsequently, cell suspension 24 may be filled, injected or deposited into lumen 23 through syringe needle 54 to a level just below the bottom of leg portion 37 of the fitting. will further be appreciated that other filling methods, such as commercially available automated techniques, 20 may be employed as well.

In a related embodiment, fitting 32 may be composed of a resilient self-sealable material, such as silicone, which is formed and dimensioned to be positioned in 25 second open end 31 in a manner causing a cell-tight seal between fitting 32 and the porous, permselective membrane 21. In this configuration, as best viewed in FIGURE 15, fitting 32 provides no access port into storage cavity 23. Hence, fitting 32 must be capable of permitting passage of a syringe needle or the like so that the syringe forcibly creates an access In accordance with the present invention, after the living cells are deposited in the storage cavity and upon withdrawal of the needle therefrom, the self-sealable fitting 32 is sufficiently resilient to sealably close access port 34 caused by the syringe to form a cell-tight seal.

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Turning now to FIGURES 4A and 4B, an alternative embodiment of the present invention is illustrated. Rather than the annular fitting being substantially preformed and/or molded, the fitting 32 may merely be comprised of a section of tubing having an outer perimeter engaging surface 56 which is "dry" sealed to the interior surface 22 by adhesive 42. Preferably, tubular fitting 32 is provided by polyurethane tubing which projects distally beyond the annular edge portion 41 of the membrane 21. Similarly, adhesive 42 may be a two-part polyurethane adhesive (e.g., CasChem 2-part Vorite/Polycin Adhesive).

FIGURE 4A shows that tubular fitting 32 is provided with open bore 34 for the injection of the cell suspension by a filler tube (not shown) or the like. 15 Upon removal of the tube, open bore 34 is preferably sealed by melting the upper portion of the tubing extending beyond the hollow membrane to form melted seal plug member 57 (FIGURE 4B). Since polyurethane 20 fuses quickly and is a poor heat conductor, the process of heating the upper portion the end with a soldering iron or the like will not significantly heat the cells and thus will not effect their viability. precautionary measure, the fused upper seal 57 of tubular fitting 32 may be covered with an acrylate 25 polymer seal 58 (FIGURE 4B) or the like to ensure a cell-tight, "dry" Accordingly, seal. in configuration, both fused seal 57 and polymer seal 58 form plug member 35.

30 Tubular fitting 32 could further be comprised of a deformable, substantially non-porous material such as silicone. In this embodiment, as best viewed in FIGURES 5A and 5B, open bore 34 may be sealed by pushing a stainless steel, teflon or other polymer 35 ball-shaped plug member 35, via rod 59, into open bore

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34 of tubular fitting 32. The diameter of ball-shaped plug member 35 is preferably provided with a larger diameter than the inner diameter of both open bore 34 and lumen 23. In this manner, as rod 59 pushes plug 5 member 35 into tubular fitting 32, the ball resiliently expands inwardly facing surface 33 of the fitting to form a suitable cell-tight seal. Plug member 35 is preferably pushed all the way down until it positioned proximate the annular edge portion 41 of 10 membrane 21 (FIGURE 5B). A adhesive (not shown) may then be applied into bore 34 to retain the ball-shaped plug member 35 in place.

In another embodiment of the present invention, as best viewed in FIGURE 6, the inwardly facing surface 33 forming open bore 34 of annular fitting 32 may be threaded. To seal the bore, a threaded plug member 35 or screw may be provided which engages the threads. Similarly, an acrylate polymer seal 61 or the like may cover the head of screw plug member 35 to ensure a 20 cell-tight, "dry" seal and, further, provide a smoother overall top surface.

This embodiment may be fabricated by attaching a prefabricated threaded port to the end of the membrane or by molding a fitting directly to the membrane end. In this arrangement, no adhesives will be necessary since fitting 32 is molded directly thereto. The molded fitting preferably comprises a substantially non-porous polyurethane or the like. The embodiment of FIGURE 6 further illustrates that engaging surface 43 30 may cooperate with an outer facing peripheral surface 68 of membrane 21 to form a substantially cell-tight "dry" sealing engagement therewith.

FIGURE 7 shows that the threaded plug member may be provided by a headless screw such as an allen screw

plug 35 in order to lie flush with the upper surface of the fitting so that it may be smooth. The fitting 32 in this embodiment may extend past annular edge portion 41 of the hollow membrane 21 to forms a ledge 60 to retain additional adhesive sealant 42. This further ensures a proper "dry" seal between the non-porous fitting and the porous fiber membrane.

In yet another alternative embodiment, open bore 34 may include a tapered portion 62 (FIGURE 8) which inclined 10 outwardly which is formed and dimensioned to receive a conical plug member 35 of a suitable material, such as a polymer or an elastomer. Conical plug member 35 may be friction fit in tapered bore portion 62 or may be bonded thereto by applying the above-mentioned 15 When adhesives are applied in this adhesives. application, it will be appreciated that the material of plug member 35 be substantially non-porous which facilitates adhesion of plug member 35 thereto. Moreover, it will be understood that the plug member 35 20 and similarly shaped bore portion 62 may be practically any convenient geometric shape without departing from the true scope and nature of the present invention. Alternatively, tapered portion 62 may be sealed with a suitable adhesive.

25 As best viewed in FIGURE 9, fitting 32 may be formed from a polymeric elasticity memory material capable of controlled and predetermined expansion contraction above and below a glass transition region temperature, T. In a polymeric elasticity memory material, such as that disclosed in Y. Shirai et al., 30 "Development of Polymeric Shape Memory Material", Mitsubishi Technical Bulletin n.184 (December 1988), the material may exhibit and retain certain structural and physical properties at a temperature above  $T_g$  as compared to those properties while at  $T_g$ . Similarly,

the material may exhibit and retain certain structural and physical properties at a temperature below  $\mathbf{T}_g$  as compared to those properties at  $\mathbf{T}_g$  and above  $\mathbf{T}_g$ .

For example, in accordance with the present invention, 5 the memory material composing fitting 32 (FIGURE 9) may exhibit a reduced modulus of elasticity, at temperature above and below Tg, as compared to its modulus of elasticity at To. Hence, fitting 32 may be fabricated to a desired shape and transverse crosssectional area (not shown), at body temperature (i.e., 98.6 °F which the material will retain once device 20 is implanted in the body), which is greater than the transverse cross-sectional area (not shown) defined by interior surface 33, at second Subsequently, fitting 32 may be cooled to its  $T_a$ , preferably between the body temperature and room temperature, where the fitting may be deformed and reshaped to have a transverse cross-sectional area less than that enclosed by the interior surface at second This smaller area will facilitate insertion 20 end 31. into the lumen entrance. By further cooling the material below  $T_o$ , because the modulus of elasticity is reduced at a temperature below and above To, deformed fitting 32 will retain its shape until rewarmed back up to T<sub>a</sub>. Upon insertion into this entrance of lumen 23, and upon rewarming of the fitting back to  $T_a$ , the fitting will convert back to its structural shape and physical characteristics exhibited at a temperature above T<sub>o</sub>. Therefore, upon expansion of the transverse 30 cross-sectional area of the fitting, the perimeter wall 63 will engage or force-fit in sealing contact against interior surface 33 of lumen 23 to form a suitable cell-tight, dry seal therebetween. Further, maintaining the material at body temperature, the shape 35 and physical characteristics of the material will be retained as well.

It will be appreciated that first sealing means 25 may also be composed of a elasticity memory material. In this form, it may be preferable to provide an annular seating portion 64 proximate the bottom opening of first end 30 which having a transverse cross-sectional are smaller than that of the lumen. A memory material fitting 67 of first seal 25 may then be inserted into lumen 23 and seated against seating portion 64 proximate first end 30. Subsequently, the material may be rewarmed to T<sub>g</sub> where the diameter expands so that the outer perimeter wall 63 is in force-fit sealing contact against interior surface 33 of lumen 23 to form a suitable cell-tight, dry seal therebetween.

Because of the reduced modulus of elasticity upon rewarming the material to body temperature, as compared to the modulus at Tg, the memory material may be used to form a tether portion 65 which functions to anchor encapsulation device 20 with the recipient. Hence, the tether may be attached to the appropriate area of the recipient with a suture, surgical staple or the like. Further, tether 65 may aid in the removal of the membrane encapsulation device from the body.

FIGURE 10 illustrates that the memory material fitting 32 may also work in conjunction with a substantially 25 non-porous tubular tether device 65 which cofunctions as a tether and a means for loading the lumen with cell solution (not shown) through open bore 34. In this arrangement, open bore 34 may be cell-tight sealed by a plug member (not shown) composed of a memory material or may be sealed by the above-mentioned methods so as to form a "dry" seal.

Finally, as best viewed in FIGURE 11, a polymeric elasticity memory, cup-shaped, end cap 66 may be provided which exhibits the structural and physical

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characteristics in which, rather than expanding at a temperature above  $\mathbf{T}_{\mathbf{g}}$ , the cap 66 contracts or shrinks at T and at body temperature to sandwich membrane 21 between cap 66 and memory material fitting 32, thereby 5 forming a cell-tight seal therebetween.

Turning now to FIGURES 12 and 13, the substantially flat encapsulation device configuration of the present invention will now be described. In the preferred form of flat sheet device 20, fitting 32 is provided by a 10 relatively thin annular ring member having an inner diameter formed by inner surface 48. Inwardly facing surface 33 forms access port 34 extending into storage cavity 23 so that cavity 23 may be filled with living cell solution 24. Device 20 is thus a substantially flat disc-shaped encapsulation device.

It will be understood, however, annular ring fitting 32 may be formed in many other practical geometric shapes as well without departing from the true spirit and nature of the present invention. The main principal is 20 that fitting inner surface 48 is formed to cooperate with the interior surfaces 22a, 22b of sheet membranes 21a, 21b, respectively, to form storage cavity 23. For instance, fitting 32 may be shaped as a half-annular ring (not shown) or be wedge-shaped. 25 configuration may necessitate either: bonding engagement between sheet membranes 21a and 21b in a cell-tight dry sealing manner; or providing a single sheet membrane which extends around the ring to celltight dry seal with both a first engaging surface and 30 an opposing second engaging surface (equivalent to first engaging surface 43a and opposing second engaging surface 43b of fitting 32 in FIGURE 12.

Extending radially outwardly from an outer facing surface 39 or the outer perimeter of fitting 32 is

access neck portion 70 which provides a portion of bore 34 extending longitudinally therethrough. As shown in FIGURE 12, cavity 23 can be accessed through port 34. Although not illustrated, neck portion 70 may be provided by an unitary tube member which is integrally molded into annular ring fitting 32 during molding fabrication. Incidently, similar to the previous configuration, fitting 32 is preferably rigid and may composed of a number of materials 10 polyurethanes, epoxies, silicones, and acrylate polymers like alkaline methoacrylates, cyano acrylates, polymethyl methacrylate and poly ((2-dimethylamino) ethyl methacrylate. In some instances, it may be preferable to compose fitting 32 from an elastomer, such as 15 polyurethane, so that the seal formed around the edge of the flat sheet device can be slightly deformed to facilitate implantation in certain sites in the body.

Permselective, porous membrane is provided by first sheet membrane 21a and second sheet membrane 21b 20 disposed in opposing relation and spaced apart by fitting 32. As mentioned previously, annular ring fitting 32 may be provided by only an half ring or wedge-shaped ring. In this arrangement, only portions of first sheet membrane 21a are spaced-apart from 25 portions of second sheet membrane 21b (not shown). Both sheet membranes 21a, 21b, cooperate with and seat against respective engaging surfaces of fitting 32 to form substantially cell-tight dry seal therebetween. Accordingly, first membrane interior surface 22a, second membrane interior surface 22b, and fitting inner 30 surface 33 form storage cavity 23 for holding living cell solution 24 therein. In some circumstances, it may be preferable to differ the permeability of the first sheet membrane 21a from the second sheet membrane. For instance, it may be desirable to control 35 the flow of nutrients through the membranes.

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membranes, however, must be impermeable to the living cells.

In accordance with the present invention, the celltight dry seal is formed by positioning or sandwiching 5 annular ring 32 between the first and the second sheet membranes in abutting relation so that the respective interior surfaces seat against the respective surfaces During fabrication, as viewed in of the fitting. 13, a thermoplastic edge portion 71 FIGURE 10 preferably molded circumferentially or peripherally around fitting outer facing surface 68 perimeter edges 72a, 72b of the first and second sheet membranes, respectively to form an integral unit. This procedure forms a substantial cell-tight "dry" seal all around the peripheral abutting surfaces. Edge portion comprise a molded polyurethane or other thermoplastic material compatible for bonding with fitting 32 and sheet membranes 21a and Alternatively, the cell-tight dry seal may be formed by 20 an adhesive which is biocompatible and substantially non-toxic, such as a light-curable acrylate polymer adhesive mentioned above.

Similar to the first configuration, the cell culture solution 24 may be disposed or deposited, through access port 34 in fitting 32, by inserting a syringe needle or the like through port 34 and into the cavity. After deposition of the living cells in cavity 23, any residual solution or contaminants which may have contacted bonding surface 38 of non-porous fitting neck portion 70 may be easily removed therefrom so that the surface becomes "dry".

As best viewed in FIGURE 12, plug member 35 is preferably provided by an end cap bonded or attached to bonding surface 38 at a distal end of neck portion 70.

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Access port 34, hence, is fully sealed and living cells 24 are encapsulated in storage cavity 23 without affecting their viability. Alternatively, as previously indicated, port 34 may be sealed by applying an acrylic or the like into or over access port 34, or by placing a threaded plug member into port 34 (not shown). Further, fitting 32 may include a hub assembly (not shown), as mentioned above, having a seal member formed to receive a filling tube therethrough.

In another embodiment of the present invention, as shown in FIGURE 16, a bead of uncured adhesive may be provided around the outer periphery surface 82 of the hollow fiber membrane 21, proximate second open end 31, to form an adhesive ring 80 which cell tight "dry" seals with the membrane once cured. After living cells are deposited in the storage cavity, using the abovementioned techniques, a cell tight "dry" seal over second open end 31 may be formed, once cured, by applying additional adhesive cap 81 around the end which bonds to adhesive ring 80.

In this arrangement, although adhesive ring 80 need not be fully cured when adhesive cap 81 is bonded thereto, it will be understood that adhesive ring 80 must be sufficiently cured to form cell tight "dry" seal with the membrane.

In another aspect of the present invention, a method is provided for forming the hollow membrane device configuration which administers a biologically active product or function to an individual. The method comprises the steps of: (a) providing a fitting 32 including an access port 34 extending through the fitting from an outer surface 39 to an inner surface 48; and (b) providing a permselective, porous, membrane 21 having an interior surface 22 cooperating with

fitting inner surface 48 to define at least substantial portion of a storage cavity therebetween. The next step includes: (c) forming a substantially cell-tight, first dry seal between the 5 membrane 21 and an engaging surface 43 of fitting 32; and (d) filling or depositing in cavity 23, through access port 34, living cells 24 capable of secreting a biologically active product or of providing a selected biological function to an individual. The membrane 10 permits the passage of substances between individual and cells required to provide said biological product or function. The last step includes (d) forming a cell-tight second seal at fitting access port 34 by providing a plug member 35 formed to 15 cooperate with a bonding surface 38 of fitting 32 to form a cell-tight sealing engagement therewith. indicated above, the plug member may be provided by fusing the distal end together, sealing the end with a biocompatible adhesive, plugging the open bore with a mating plug or the like.

As best viewed in FIGURES 1A-1C, the filling step may be accomplished by passing a filler tube 54 through access port or open bore 34. Subsequently, lumen 23 may be filled with the living cell solution. Moreover, 25 when a necked hub assembly 44 is provided, the present invention may include the additional steps of passing the filler tube 54 through seal member 50 and through passageway 46 and abutting a distal end 73 of filler tube 54 against an upwardly facing shoulder portion 74 30 defined by housing 45 and formed to seat filler tube 54 thereagainst. This prevents passage of filler tube 54 into the fitting open bore 34. After depositing the living cells 24 from the filler tube into cavity 23, breaking the frangible neck portion 55 at the frangible region to separate the hub assembly 44 from fitting 32 which causes exposure of the open bore.

separating the hub assembly, providing the plug member 35 over the exposed open bore to cooperate with the bonding surface (distal fitting end 38 and/or fitting inwardly facing surface 33) of the fitting to form the cell-tight sealing engagement therewith.

Another method is provided for forming flat sheet encapsulation device 20 (FIGURE 12) to administer a biologically active product or function individual. The method comprises the steps of a) 10 positioning a fitting 32 between first interior surface 22a, of first permselective porous membrane 21a, and second interior surface 22b, of a second permselective 21b, membrane porous and (b) forming substantially cell-tight dry seal between first 15 membrane 21a and a first engaging surface 43a of fitting 32. The method further includes forming a second substantially cell-tight dry seal between second membrane 21b and a second engaging surface 43b of The fitting inner surface 48, first fitting 32. 20 membrane interior surface 22a and second membrane interior surface 22b cooperate to define storage cavity 23 therein. Living cell solution 24 is then filled or deposited in storage cavity 23, through an access port 34 defined by fitting inwardly facing surface 33 which extends into cavity 23. The method includes forming a third seal at fitting access port 34 by providing a plug member 35 formed to cooperate with a bonding surface 38 of fitting 32 to form a substantially celltight sealing engagement therewith.

30 As provided by the present invention, the step of forming the first substantially cell-tight dry seal (b) and forming second substantially cell-tight dry seal (c) is performed by molding a thermoplastic edge member 71 around outer perimeter edges 72a, 72b, 68 of the 35 first sheet membrane, the second sheet membrane and the

fitting, respectively. The molded edge member 71 molding perimeter edges together to form an integral unit and to provide the substantially cell-tight dry seal.

5 As previously mentioned, a tether portion may be included mounted to the fitting which functions to anchor the encapsulation device to the recipient with the aid of a suture, surgical staple or the like. shown in FIGURE 17, tether portion 65 is mounted to an 10 end of fitting 32 through the support of a tether connector 84 friction fit with the interior surfaces 33, 85 of the respective fitting 32 and tether 65. This arrangement permits the exterior or outer diameter of the tether to be substantially similar to the outer diameter of the fitting. Accordingly, this facilitates insertion of the encapsulation device through a cannula or the like. Further, lodging of the device on tissue during removal thereof can be minimized or reduced. Further, this arrangement may be incorporated on any of 20 the other embodiments set forth above which include plug members.

In this embodiment, after the lumen has been loaded with cell solution (not shown) through open bore 34, one end of connector 84 may be friction fit in fitting 25 bore 34 with an opposite end thereof protruding from the distal end of fitting 32. This connector opposite end is also dimensioned for friction fit into a bore 86 of tubular tether 65 to join the fitting to the tether. Hence, connector 84 not only forms a plug or cell-tight seal of bore 34, but also functions as a joining device with tether 65. Further, while the connector is preferably in frictional engagement with the interior surfaces of the tether bore and the fitting bore, it will be appreciated that the connector may be glued to

the fitting and the tether without departing from the true spirit and nature of the present invention.

Preferably, connector 84 is inserted into fitting bore 34 until a recess region 87 thereof is positioned at 5 the juncture between the tether and the fitting. At this juncture, a biocompatible glue or adhesive 88 is preferably applied therebetween to further cell-tight seal bore 34, and fixedly mount or join the tether to the fitting. Recess region 87 is preferably annular shaped and forms a receptical for adhesive 88 to flow therein to contact the interior surfaces 33 and 85 of fitting bore 34 and tether bore 86.

at the opposite end thereof which enhance friction fit
mounting to the interior surface 85 forming tether bore
86. It will be appreciated that in the outer diameter
of both ends of connector 84 are dimensioned slightly
larger than the internal diameters of fitting bore 34
and tether bore 86 to enable friction fitting
therebetween. The connector is relatively rigid to
provide support between the fitting and the tether.
Further, to enhance imaging of the device, connector 84
is preferably composed of a radio-opaque material such
as titanium.

25 FIGURES 18A and 18B illustrate yet another embodiment of the encapsulation device 20 of the present invention, where tubular fitting 32 is generally flexible and is disposed on one open end 30 of membrane 21. Similar to other embodiments, flexible fitting 32 includes an inwardly facing surface 33 forming open bore 34, and an engaging surface 43 in a cell-tight "dry" sealing engagement with membrane 21 proximate the one open end 30. A bonding surface 75 and an abuttable surface 76 of the fitting cooperate therebetween, upon

the application of a solvent to the bonding surface and, optionally, the abuttable surface followed by the application of a washing fluid to the surfaces, to form a cell-tight "wet" sealing engagement upon contact of surfaces together to seal fitting open bore 34.

In the preferred form of this embodiment, fitting 32 is provided by a low durometer hardness polyurethane tubing such as TECOFLEX® by THERMEDICS®. Other suitable biocompatible polymers may include poly(methyl methy-acrylate), polycarbonate, polypropylene, silicone or blends thereof.

As viewed in FIGURES 18A and 18B, engaging surface 43 of tubular fitting 32 faces outwardly and forms the substantial cell-tight "dry" seal with membrane 15 interior surface 22. An adhesive layer, such as the two-part polyurethane adhesive (e.g., CasChem 2-part Vorite/Polycin Adhesive) or other biocompatible adhesive, is disposed between fitting engaging surface 43 and membrane interior surface 22 to promote the 20 "dry" sealing. It will of course be appreciated that fitting 32, similar to the other embodiments, could be dry seal mounted to the exterior surface or the distal end of membrane 21 without departing from the true spirit and nature of the present invention.

- 25 The encapsulation device 20 includes a hollow fiber having at least on open end and at least one flexible fitting 32 or 32' to be cell-tight sealed. One of the two fittings may be either "wet" sealed or "dry" sealed prior to the deposition of the living cell solution 24.
- of fitting 32 includes both the bonding surface 75 and the abuttable surface 76 in opposed relation therebetween. Hence, after the solvent and washing fluid have been applied to the respective surfaces.

contact therebetween is accomplished by compressing the exterior surface of fitting 32 together.

Initially, the solvent will typically induce swelling in the polymer surface. Preferably, the solvent may be 5 chosen as a sterilization liquid, such as a 70% ethanol solution, which partially dissolves the engaging and abuttable surfaces (i.e., interior surface 22) for melding. Exposure to the ethanol solution separates the polymer chains to permit melding of the opposing 10 surfaces during curing. Other solvents, isopolypropanol, may be used depending upon which polymer is chosen to compose the fitting.

It will be understood that the solvent does not affect, or is a non-solvent to, the fiber membrane material. 15 Hence, while the inwardly facing surfaces 33 (i.e., the bonding and abuttable surfaces) of fitting dissolved, the interior surface 22 providing cavity 23 remain substantially uninfluenced by the solvent.

Preferably, the solvent is ultrafiltered through the 20 pores of membrane 21 as the solvent passes through membrane cavity 23 to "wet" the inwardly facing surfaces. This is used to remove humectant from the pores, and sterilize the device before deposition of the living cell solution 24 therein.

25 Subsequent to the exposure of the surfaces to the solvent, the exposed surfaces are flushed with a washing fluid. This fluid is chosen as a non-solvent to the fitting which precipitates the dissolved exposed surfaces into a low density three-dimensional 30 structure. In the case of TECOFLEX, this causes the transparent tubing to turn milky-white in appearance. Such appearance results from the tubing precipitating out of solution into aggregates which diffract the

visible light. Preferably, the washing fluid is water or a physiological buffer solution, such as Hanks Buffered Saline Solution.

The prepared fitting ends are then compressed or pinched together, by tweezers, pliers or the like, causing bonding surface 75 to contact abuttable surface 76. In turn, the contact of these low density surfaces allows significant chain interpenetration or "welding" into a permanent seamless homogeneous bulk material under aqueous conditions and without the application of heat.

It will be understood that a cell-tight seal may be formed by folding over or creasing flexible fitting 32 so that two exterior surfaces of the fitting (i.e., an exterior bonding surface and an exterior abuttable surface) come into contact for "welding".

A method is further provided for forming this sealed, implantable, hollow membrane encapsulation device 20 which includes: (a) providing a permselective hollow, 20 porous, membrane 21 including an interior surface 22 defining a storage cavity 23 and one open end 30 providing access into the cavity 23; and (b) forming a cell-tight, first dry seal at open end 30 of membrane 21 between the membrane and an engaging surface 43 of 25 a flexible fitting 32. The method further provides: (c) filling or depositing in cavity 23, through open living cells capable of secreting a 34. biologically active product or of providing a selected biological function to an individual; and (d) forming 30 a cell-tight second seal at fitting open bore 34. This second seal is formed by: 1) exposing both the bonding surface 75 and the abuttable surface 76 of to a solvent; 2) after the exposing step, washing bonding surface 75 and abuttable surface 76 with a washing

fluid; and 3) after the washing step, contacting the bonding surface 75 and the abuttable surface 76 together to form the cell-tight second seal to seal open bore 34.

Preferably, the exposing step and the washing step are accomplished by passing the solvent fluid and subsequently the washing fluid through the open bore of the first fitting 32, and through cavity 23 where it exits through the open pores. This procedure advantageously ultrafilters the fiber membrane.

Further, the exposing step and the washing step are preferably performed before the filling or depositing step so that after deposition of the living cell solution 24 into the cavity, the fitting can be pinched together for "wet" cell-tight sealing.

#### WHAT IS CLAIMED IS:

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- A sealed, implantable, encapsulation device for providing a biologically active product or function to an individual, said encapsulation device comprising:
- a fitting including an access port extending through said fitting from an outer surface to an inner surface:
- a permselective, porous, membrane having interior surface cooperating with said fitting inner 10 surface to define at least a substantial portion of a storage cavity therebetween, said membrane being in substantially cell-tight dry sealing engagement with an engaging surface of said fitting;
- living cells disposed in said storage cavity and 15 capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage substances between the individual and cells required to provide said biological product or function; and
- 20 a plug member cooperating with a bonding surface of said fitting, proximate said access port, to form a cell-tight sealing engagement therewith to seal said access port.
- .2. The encapsulation device as defined in Claim 1 25 wherein,

said fitting includes an access neck portion extending outwardly from said fitting outer surface, said neck portion defining a passageway communicating alignment with said access port.

The encapsulation device as defined in Claim 2 30 3. wherein,

said fitting is provided by a thin annular ring and said inner surface further defines a first opening and an opposite second opening both extending into said cavity, and

said membrane is provided by a first sheet membrane having a first interior surface covering said first opening and being in substantially cell-tight dry sealing engagement with said fitting, and a second sheet membrane in opposed relation to said first sheet membrane, said second sheet membrane having a second interior surface covering said opposite second opening and being in substantially cell-tight dry sealing engagement with said fitting.

10 4. The encapsulation device as defined in Claim 3 wherein,

said cell-tight dry seal between said first and said second sheet membrane, and said fitting is provided by a molded edge member extending around outer perimeter edges of the first sheet membrane, the second sheet membrane and the fitting, said edge member molding said perimeter edges together to form an integral unit.

5. The encapsulation device as defined in Claim 1 20 wherein.

said membrane comprises a hollow fiber membrane having at least one open end thereof providing access to said storage cavity, and

said fitting is annular.

25 6. The encapsulation device as defined in Claim 5 wherein,

said engaging surface of said annular fitting faces outwardly to form said substantial cell-tight engagement with said membrane interior surface to cell30 tight dry seal said at least one open end of said hollow fiber.

7. The encapsulation device as defined in Claim 6 wherein,

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said cell-tight dry engagement includes an intermediate adhesive layer between said fitting engaging surface and said membrane interior surface.

8. A sealed, implantable, hollow membrane encapsulation device for providing a biologically active product or function to an individual, said encapsulation device comprising:

a permselective hollow, porous, membrane having an interior surface defining a storage cavity and at least one open end therein;

living cells disposed in said cavity capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function;

sealing means at said at least one open end of said membrane including fitting having an inwardly facing surface defining an open bore extending into said cavity, said fitting having an engaging surface in a cell-tight dry sealing engagement with said membrane proximate said at least one open end; and

a plug member cooperating with a bonding surface of said fitting to form a cell-tight sealing engagement therewith to seal said open bore.

9. The encapsulation device as defined in Claim 8 wherein,

said fitting includes a base portion projecting outwardly from said at least one open end of said membrane and providing said bonding surface, said base portion further defining said open bore into said cavity, and

said plug member contacting the bonding surface of said base portion to form said cell-tight sealing engagement therewith.

10. The encapsulation device as defined in Claim 9 wherein,

said plug member comprises a biocompatible adhesive disposed on said bonding surface and over said 5 open bore proximate a distal end of said base portion to seal said open bore.

11. The encapsulation device as defined in Claim 10 wherein,

said adhesive is a light curable acrylate.

10 12. The encapsulation device as defined in Claim 10 wherein,

said adhesive is a polyurethane adhesive.

- The encapsulation device as defined in Claim 9 wherein,
- 15 said base portion is provided by a thermoplastic tubular material, and

said plug member is formed by fusing said bonding surface together at a distal end of said thermoplastic base portion in a manner forming said cell-tight seal.

20 14. The encapsulation device as defined in Claim 12 wherein,

said tubular material is polyurethane.

- 15. The encapsulation device as defined in Claim 12 wherein, .
- 25 said sealing means further includes an adhesive disposed over said fused bonding surface.
  - 16. The encapsulation device as defined in Claim 9 wherein,

said base portion is provided by a deformable 30 tubular material, and

said plug member has a transverse cross-sectional area larger than the fitting open bore transverse cross-sectional area so that when said plug member is force-fit therein, said fitting inwardly facing surface of said base portion is caused to deform around said plug member and into said cell-tight sealing engagement with said fitting inwardly facing surface.

- 17. The encapsulation device as defined in Claim 16 wherein,
- said plug member is substantially spherical-shaped.
  - 18. The encapsulation device as defined in Claim 16 wherein,

said tubular material is silicone.

15 19. The encapsulation device as defined in Claim 16 wherein,

said plug member is composed of stainless steel.

- 20. The encapsulation device as defined in Claim 16 wherein,
- 20 said plug member is composed of teflon.
  - 21. The encapsulation device as defined in Claim 8 wherein,

said fitting is substantially non-porous and said fitting bonding surface defines a hole aligned with 25 said open bore, and

said plug member is formed and dimensioned for receipt in said hole.

- 22. The encapsulation device as defined in Claim 21 wherein,
- 30 said hole defines a tapered recess inclined outwardly from said open bore, and

said plug member is conical-shaped and formed for mating engagement with said bonding surface.

- 23. The encapsulation device as defined in Claim 8 wherein,
- said sealing means further includes an intermediate adhesive layer between said fitting engaging surface and said membrane forming said celltight dry seal therebetween.
- 24. The encapsulation device as defined in Claim 2310 wherein,

said adhesive is a light curable acrylate.

- 25. The encapsulation device as defined in Claim 8 wherein,
- said plug member is formed by <u>in situ</u>

  15 polymerization of a monomer in the open bore of said fitting.
  - 26. The encapsulation device as defined in Claim 8 wherein.
- said plug member has external screw threads mating 20 with corresponding screw threads on said fitting inwardly facing surface in a manner forming said celltight sealing engagement.
  - 27. The encapsulation device as defined in Claim 26 wherein,
- said second sealing means further includes an adhesive disposed over a head of said screw to form a cell-tight seal with said fitting.
  - 28. The encapsulation device as defined in Claim 8 wherein,
- said plug member has a transverse cross-sectional area larger than the fitting bore transverse cross-

sectional area so that said plug member is force-fit into said cell-tight sealing engagement with a fitting inwardly facing surface.

29. The encapsulation device as defined in Claim 8 wherein,

said plug member is formed of a polymeric elasticity memory material and is characterized by a first transverse cross-sectional area smaller than the fitting bore transverse cross-sectional area at a first temperature, and a second transverse cross-sectional area larger than the fitting bore transverse cross-sectional area at a second temperature, said second temperature being the body temperature of an individual,

whereby said plug member is force-fit in said cell-tight sealing engagement with a fitting inwardly facing surface at said body temperature.

30. A sealed, implantable, hollow membrane device for providing a biologically active product or function to an individual, said encapsulation device comprising:

a permselective hollow, porous, membrane having an interior surface defining a cavity;

living cells disposed in said cavity capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function;

first and second sealing means at respective first
and second ends of said membrane, said second sealing
means comprising a substantially non-porous plug member
of a larger transverse cross-sectional area than a
transverse cross-sectional area defined by said
membrane interior surface proximate said second end,

and force-fit in a cell-tight dry seal with the membrane interior surface.

- 31. The encapsulation device as defined in Claim 30 further comprising:
- an intermediate adhesive layer between said plug member and membrane interior surface forming said celltight dry seal therebetween.
  - 32. The encapsulation device as defined in Claim 31 wherein,
- said plug member comprises a polymeric elasticity memory material and is characterized by a first transverse cross-sectional area, at a first temperature, smaller than said membrane cross-sectional area, and a second transverse cross-sectional area, at a second temperature, larger than said membrane cross-sectional area, said second temperature being the body temperature of an individual,

whereby said plug member is force-fit in said cell-tight sealing engagement with said membrane interior surface at said body temperature.

20

33. The encapsulation device as defined in Claim 32 wherein.

said plug member includes an open bore extending into said cavity,

said second sealing means further comprises a hollow tube in cell-tight sealing relationship with said open bore and projecting distal therefrom, and

said encapsulation device further comprising third sealing means disposed in a distal region of said tube, 30 and forming a cell-tight seal therewith.

34. A prefilling assembly for forming a sealed, implantable, hollow membrane encapsulation device for

providing a biologically active product or function to an individual, said prefilling assembly comprising:

a permselective hollow, porous, membrane with an interior surface defining a storage cavity and at least one open end therein, said membrane permitting passage of substances between the individual and cells to be filled into said storage cavity, said substances being required to provide said biological product or function;

sealing means at said one open end of said membrane and including a fitting having an engaging surface formed to engage the membrane in a cell-tight dry sealing manner, said fitting including a distal frangible neck portion projecting outwardly from said at least one end and defining a central bore extending into said cavity;

a hub assembly coupled to said neck portion and defining a passageway in communicating alignment with said central bore, said assembly including a seal member seating in said passageway and formed to permit the passage of a filler tube therethrough for deposition of said cells through said fitting central bore and into said cavity, said hub assembly being capable of selective separation from said fitting upon breaking of said frangible neck portion, said separation causing exposure of said central bore; and

a plug member cooperating with a bonding surface of said fitting to form a cell-tight sealing engagement therewith to plug said exposed central bore.

30 35. A prefilling assembly as defined in claim 34 wherein,

said hub assembly passageway includes an upwardly facing shoulder portion formed to seat said filler tube thereagainst to prevent passage of said filler tube into said fitting central bore.

A prefilling assembly as defined in claim 35 wherein,

a distal end of said filler tube is substantially blunt and formed to seat against said shoulder portion.

A prefilling assembly as defined in claim 34 5 37. wherein,

said seal member is formed with a preformed hole in coaxial alignment with said passageway and having a diameter smaller than the diameter of said filler tube.

10 38. A prefilling assembly as defined in claim 37 wherein,

said seal member is composed of silicone.

- A prefilling assembly as defined in claim 34 wherein,
- 15 said hub assembly further includes a cap member disposed in said passageway atop said seal member to retain seal member in said passageway, said cap member defining a port for receipt of said filler tube therethrough.
- 20 40. A prefilling assembly as defined in claim 34 wherein,

said plug member includes a biocompatible adhesive disposed on said bonding surface and over said exposed central bore proximate a distal end of said fitting to 25 seal said central bore.

41. A prefilling assembly as defined in claim 34 wherein,

said filler tube is comprised of a hypodermic syringe needle formed to extend through said central 30 bore and into said cavity for deposition of said cells therein.

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42. A prefilling assembly as defined in claim 41 wherein,

said seal member is a septum.

43. A sealed implantable hollow membrane encapsulation device for providing a biologically active product or function to an individual, said encapsulation device comprising:

a permselective hollow, porous, membrane including an interior surface defining a storage cavity and at least one open end therein;

living cells disposed in said cavity capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function;

sealing means at said at least one open end of said membrane, said sealing means comprising a plug spacer seated proximate said membrane interior surface of said second end, and further comprising a flexible, elastic, generally cup-shaped end cap tightly fitting over said at least one open end in cell-tight sealing engagement with said membrane.

- 44. A method for forming a sealed, implantable, hollow 25 membrane encapsulation device for providing a biologically active product or function to an individual, said method comprising the steps of:
- (a) providing a fitting including an access port extending through said fitting from an outer surface to30 an inner surface;
  - (b) providing a permselective, porous, membrane having an interior surface cooperating with said fitting inner surface to define at least a substantial portion of a storage cavity therebetween

- (c) forming a substantially cell-tight, first dry seal between the membrane and an engaging surface of said fitting;
- (d) filling or depositing in said cavity, through said access port, living cells capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function; and
  - (e) forming a cell-tight second seal at said fitting access port by providing a plug member formed to cooperate with a bonding surface of said fitting to form a cell-tight sealing engagement therewith.
- 15 45. A method for forming a sealed, implantable, hollow membrane encapsulation device for providing a biologically active product or function to an individual, said method comprising the steps of:
- (a) providing a permselective hollow, porous,
  20 membrane including an interior surface defining a storage cavity and at least one open end into the cavity;
- (b) forming a cell-tight, first dry seal at said open end of said membrane between the membrane and an engaging surface of a fitting, the fitting including an inwardly facing surface defining an open bore extending into said cavity;
  - (c) filling or depositing in said cavity, through said open bore, living cells capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function; and
- (d) forming a cell-tight second seal at said fitting open bore by providing a plug member formed to

cooperate with a bonding surface of said fitting to form a cell-tight sealing engagement therewith.

## 46. The method of Claim 45 wherein,

said fitting further includes a frangible neck

5 portion defining said open bore and projecting
outwardly from said membrane open end, and a hub
assembly coupled to said neck portion and defining a
passageway in communicating alignment with said open
bore, said hub assembly further being capable of
10 selective separation from said fitting at a frangible
region of said neck portion; and

said cell-tight second seal forming step (d) is further performed by seating a seal member in said passageway formed to permit the passage of a filler tube through a hole in said seal member in coaxial alignment with said passageway.

- 47. The method of Claim 46 wherein, said filling step (c) is performed by
- passing said filler tube through said seal
   member hole, having a diameter smaller than the diameter of said filler tube, and through said open bore proximate said cavity,
- 2) abutting a distal end of said filler tube against an upwardly facing shoulder portion defined by 25 said hub assembly and formed to seat said filler tube thereagainst to prevent passage of said filler tube into said fitting central bore, and
  - 3) depositing said living cells from said filler tube into said cavity.
- 30 48. The method of Claim 46 wherein,
  said filler tube comprises a syringe needle; and
  said filling step (c) is performed by passing said
  syringe needle through said seal member and through
  said open bore proximate said cavity, and depositing

said living cells from said syringe needle into said cavity.

- The method of Claim 46 wherein,
- said cell-tight second seal forming step (d) is 5 further performed by breaking said frangible neck portion at said frangible region to separate said hub assembly from said fitting causing exposure of said open bore, and providing said plug member over said exposed open bore to cooperate with said bonding 10 surface of said fitting to form said cell-tight sealing engagement therewith.
- 50. The method of Claim 45 wherein, said cell-tight second seal forming step (d) is accomplished by melting at least a portion of said 15 fitting to fill said open bore.
  - 51. The method of Claim 50 further comprising the step of forming a protective cap external to said melted fitting by depositing a layer of material in fluid form and solidifying it in situ.
- 20 52. The method of Claim 45 wherein, said cell-tight second seal forming step (d) is accomplished by threading a screw-threaded bolt into corresponding screw threads on said fitting inwardly facing surface to form said second seal.
- The method of Claim 52 wherein, 25 53. said fitting is formed by molding in situ within the membrane.
- 54. The method of Claim 45 wherein, said fitting is substantially non-porous, and 30 said cell-tight second seal forming step (d) is accomplished by sliding a substantially non-porous plug

member into the open bore of said fitting, and applying an adhesive material between said plug member and fitting inwardly facing surface to form said cell-tight seal.

5 55. The method of Claim 45 further comprising the step of:

sliding a flexible, elastic generally cup-shaped end cap over the region of said membrane open end around said plug member to form said cell-tight sealing engagement.

56. The method of Claim 45 wherein,

said plug member is formed of a polymeric elasticity memory material and is characterized by a first transverse cross-sectional area, at a first temperature, smaller than the fitting bore transverse cross-sectional area, and a second transverse cross-sectional area, at a second temperature, larger than the fitting bore transverse cross-section, said second temperature being the body temperature of an individual.

- 57. The method of Claim 45 wherein,
- said cell-tight first seal forming step (b) is formed with a region of said cavity which has not been contacted by said living cells.
- 58. A method for forming a sealed, implantable, hollow membrane encapsulation device for providing a biologically active product or function to an individual, said method comprising the steps of:
- (a) providing a permselective hollow, porous, 30 membrane having an interior surface defining a storage cavity;
  - (b) filling or depositing in said cavity living cells capable of secreting a biologically active

product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function;

inserting a plug member into an open end of 5 said membrane into said cavity, said plug member formed polymeric elasticity memory material characterized by a first transverse cross-sectional area, at a first temperature, smaller than a transverse 10 cross-sectional area defined by said membrane interior surface proximate said open end, and second transverse cross-sectional area, at a second temperature, larger than said membrane cross-sectional area, said second temperature being the body 15 temperature of an individual,

whereby said plug member is urged into forcefitting relationship with said membrane interior surface to form a cell-tight seal therewith.

- 59. The method of Claim 58 wherein,
- said plug member includes an open bore extending into said cavity,

said method further comprising the steps of:

cell-tight sealing a hollow tube in cell-tight sealing relationship with said open bore so that a portion of said hollow tube projects distally from said membrane open end, and

cell-tight sealing said tube in a distal region thereof.

- 60. A sealed, implantable, membrane encapsulation device for providing a biologically active product or function to an individual, said encapsulation device comprising:
  - a first permselective, porous, sheet membrane having a first interior surface;

a second permselective, porous, sheet membrane spaced-apart from said first membrane and having a second interior surface oriented to face said first interior surface:

5 a spacer fitting positioned between said first and said second membrane, and having an access port extending through said fitting from an outer surface to an inner surface, said first membrane interior surface, said second membrane interior surface and said fitting 10 inner surface cooperating to define a storage cavity therein, and said first membrane and said second membrane both being mounted to respective engaging surfaces of said fitting in substantially cell-tight dry sealing engagement therebetween;

15 living cells disposed in said storage cavity and capable of secreting a biologically active product or of providing a selected biological function to an individual, said first and said second membrane permitting passage of substances between the individual 20 and cells required to provide said biological product or function; and

a plug member cooperating with a bonding surface of said fitting proximate said access port to form a cell-tight sealing engagement therewith to seal said 25 access port.

61. The encapsulation device as defined in Claim 60 wherein.

said cell-tight dry seal between said sheet membranes and said fitting is provided by 30 thermoplastic molded edge member extending around outer perimeter edges of the first sheet membrane, the second sheet membrane and the fitting, said edge member molding said perimeter edges together to form an integral unit.

62. The encapsulation device as defined in Claim 61 wherein,

said molded edge member comprises polyurethane.

63. The encapsulation device as defined in Claim 60 5 wherein,

said spacer fitting comprises an annular ring.

64. The encapsulation device as defined in Claim 63 wherein,

said annular ring is relatively flat.

10 65. The encapsulation device as defined in Claim 60 wherein,

said plug member comprises a biocompatible adhesive disposed on said bonding surface and over said open bore to seal said access port.

15 66. The encapsulation device as defined in Claim 65 wherein,

said adhesive is a light curable acrylate.

- 67. The encapsulation device as defined in Claim 65 wherein,
- said adhesive is a polyurethane adhesive.
  - 68. The encapsulation device as defined in Claim 60 wherein,

said fitting includes an access neck portion providing said bonding surface and extending outwardly from said fitting, said neck portion defining a passageway in communicating alignment with said access port for access to said storage cavity.

69. The encapsulation device as defined in Claim 68 wherein,

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said plug member is a cup-shaped member disposed over said passageway proximate the distal end of said neck portion to cooperate with said bonding surface to provide said cell-tight seal.

5 70. The encapsulation device as defined in Claim 60 wherein,

said cell-tight dry seal further includes an intermediate adhesive layer between said fitting and said membrane first interior surface, and between said fitting and said membrane second interior surface.

71. The encapsulation device as defined in Claim 70 wherein,

said adhesive is a light curable acrylate.

- 72. A method for forming a sealed, implantable, 15 encapsulation device for providing a biologically active product or function to an individual, said method comprising the steps of:
- (a) positioning a substantially non-porous spacer fitting, having an inner surface and an outer surface,
   20 between a first interior surface of a first permselective porous membrane, and a second interior surface of a second permselective porous membrane;
  - (b) forming a first substantially cell-tight dry seal between said first membrane and a first engaging surface of said fitting;
    - (c) forming a second substantially cell-tight dry seal between said second membrane and a second engaging surface of said fitting, said fitting inner surface, said first membrane interior surface and said second membrane interior surface cooperating to define a storage cavity therein;
    - (d) filling or depositing in said storage cavity, through an access port extending into said cavity from said fitting outer surface to said fitting inner

surface, living cells capable of secreting a biologically active product or of providing a selected biological function to an individual, said first and said second membranes permitting passage of substances between the individual and cells required to provide said biological product or function; and

- (d) forming a third seal at said fitting access port by providing a plug member formed to cooperate with a bonding surface of said fitting to form a 10 substantially cell-tight sealing engagement therewith.
  - 73. The method of Claim 72 wherein,

the step of forming said first substantially celltight dry seal (b) and said second substantially celltight dry seal (c) is performed by molding a
thermoplastic edge member around outer perimeter edges
of the first sheet membrane, the second sheet membrane
and the fitting, said molded edge member molding said
perimeter edges together to form an integral unit.

- 74. The method of Claim 73 wherein,
- said fitting includes an access neck portion providing said surface and extending outwardly from said fitting, said neck portion defining a passageway in communicating alignment with said access port for access to said storage cavity.
- 25 75. The method of Claim 73 wherein,

said filling or depositing step (d) is performed by passing a filler tube through said passageway and through said access port proximate said storage cavity, and depositing said living cells from said filler tube into said storage cavity.

76. A sealed, implantable, encapsulation device for providing a biologically active product or function to an individual, said encapsulation device comprising:

- a self-sealable fitting formed of a material capable of permitting a syringe needle to penetrate therethrough to form an access port extending through said fitting from an outer surface to an inner surface, said fitting material further being sufficiently resilient to cell-tight seal said access port upon withdrawal of said needle therefrom;
- a permselective, porous, membrane having an interior surface cooperating with said fitting inner surface to define at least a substantial portion of a storage cavity therebetween, said membrane being in substantially cell-tight dry sealing engagement with an engaging surface of said fitting;
- living cells disposed in said storage cavity and capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function.
- 77. A method for forming a sealed, implantable, hollow membrane encapsulation device for providing a biologically active product or function to an individual, said method comprising the steps of:
- (a) providing a permselective hollow, porous, 25 membrane having an interior surface defining a storage cavity and at least one open end extending into said storage cavity;
- (b) applying a first uncured adhesive around an outer peripheral surface of said membrane proximate30 said one open end to form an uncured adhesive ring fitting therearound;
  - (c) curing said uncured adhesive ring by an amount sufficient to form a substantially cell-tight first dry seal between the membrane peripheral surface and the adhesive ring;

- (b) filling or depositing in said cavity living cells capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function;
  - (c) applying a second uncured adhesive in a manner covering said one open end and in engaging contact with said adhesive ring; and
- 10 (d) curing said second uncured adhesive to form a cap member which cooperates with a bonding surface of said cured adhesive ring fitting to form a cell-tight sealing engagement therewith.
- 78. A method of filling or depositing in a storage cavity of a sealed, implantable, hollow membrane encapsulation device living cells capable of providing a biologically active product or function to an individual, said encapsulation device including a fitting having an access port extending through said fitting from an outer surface to an inner surface and a permselective, porous, membrane having an interior surface cooperating with said fitting inner surface to define at least a substantial portion of said storage cavity therebetween, said fitting being in cell-tight dry sealing engagement with said membrane, said method comprising the steps of:
  - A) passing a filler tube, dimensioned to extend through said access port, into said access port to a position proximate said storage cavity;
- 30 B) depositing said living cells from said filler tube into said cavity
  - C) forming a cell-tight second seal at said fitting access port by providing a plug member formed to cooperate with a bonding surface of said fitting to form a cell-tight sealing engagement therewith.

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79. The method of Claim 78 wherein,

said fitting further includes a frangible neck portion defining said access port and projecting outwardly from said fitting outer surface, and a hub assembly coupled to said neck portion and defining a passageway in communicating alignment with said access port, said hub assembly further being capable of selective separation from said fitting at a frangible region of said neck portion; and

said cell-tight second seal forming step (d) is further performed by seating a resilient seal member in said passageway formed to permit the passage of said filler tube through a hole in said seal member in coaxial alignment with said passageway.

- 15 80. The method of Claim 79 wherein, said filling step (c) is further performed by
- passing said filler tube through said seal member hole, having a diameter smaller than the diameter of said filler tube, and through said open
   bore proximate said cavity,
  - 2) abutting a distal end of said filler tube against an upwardly facing shoulder portion defined by said hub assembly and formed to seat said filler tube thereagainst to prevent passage of said filler tube into said fitting access port.

#### 81. The method of Claim 80 wherein,

said cell-tight second seal forming step (d) is further performed by breaking said frangible neck portion at said frangible region to separate said hub assembly from said fitting causing exposure of said access port, and providing said plug member over said exposed access port to cooperate with said bonding surface of said fitting to form said cell-tight sealing engagement therewith.

82. A sealed, implantable, encapsulation device for providing a biologically active product or function to an individual, said encapsulation device comprising:

a permselective hollow, porous, membrane having an 5 interior surface defining a storage cavity and at least one open end therein;

living cells disposed in said cavity capable of secreting a biologically active product or of providing a selected biological function to an individual, said 10 membrane permitting passage of substances between the individual and cells required to provide said biological product or function;

a flexible fitting disposed at said at least one open end of said membrane having an inwardly facing 15 surface defining an open bore extending into said cavity, said fitting having an engaging surface in a cell-tight dry sealing engagement with said membrane proximate said at least one open end, said fitting further including a bonding surface and an abuttable surface cooperating therebetween, upon the application of a solvent at least one of the bonding and the abuttable surfaces followed by the application of a washing fluid to said one of the surfaces, to form a cell-tight sealing engagement upon contact of said surfaces together to seal said open bore. 25

83. The encapsulation device as defined in Claim 82 wherein,

said membrane comprises a hollow fiber membrane, and

30 said fitting is tubular.

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84. The encapsulation device as defined in Claim 83 wherein.

said engaging surface of said tubular fitting faces outwardly to form said substantial cell-tight engagement with said membrane interior surface to cell-

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tight dry seal said at least one open end of said hollow fiber.

- The encapsulation device as defined in Claim 84 wherein.
- 5 said cell-tight dry engagement includes intermediate adhesive layer between said fitting engaging surface and said membrane interior surface.
  - 86. The encapsulation device as defined in Claim 85 wherein,
- 10 said inwardly facing surface of said fitting includes said bonding surface and said abuttable surface in opposed relation.
  - 87. The encapsulation device as defined in Claim 86 wherein,
- said fitting is composed of polyurethane. 15
  - 88. The encapsulation device as defined in Claim 82 wherein,

said solvent is swelling solvent a sterilization liquid for said bonding and abuttable 20 surfaces of said fitting, and a non-solvent for said membrane, and

said washing fluid is a precipitating solvent and a humectant removal liquid.

The encapsulation device as defined in Claim 88 25 wherein,

> said fitting is composed of polyurethane, said solvent is ethanol, and said washing fluid is water.

90. The encapsulation device as defined in Claim 82 30 wherein,

said membrane includes a second open end into said cavity, and

a second flexible fitting disposed at said at second open end of said membrane having an inwardly facing surface defining an open bore extending into said cavity, the second fitting having an engaging surface in a cell-tight dry sealing engagement with said membrane proximate said at second open end, said fitting further including a bonding surface and an abuttable surface cooperating therebetween, upon the application of a solvent to both the bonding and the abuttable surfaces followed by the application of a washing fluid to said surfaces, to form a cell-tight sealing engagement upon contact of said surfaces together to seal the second fitting open bore.

- 91. A method for forming a sealed, implantable, hollow membrane encapsulation device for providing a biologically active product or function to an individual, said method comprising the steps of:
- (a) providing a permselective hollow, porous, membrane including an interior surface defining a storage cavity and at least one open end providing access into the cavity;
- open end of said membrane between the membrane and an engaging surface of a flexible fitting, the fitting including an inwardly facing surface defining an open bore extending into said cavity;
- 30 (c) filling or depositing in said cavity, through said open bore, living cells capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual 35 and cells required to provide said biological product or function; and

- (d) forming a cell-tight second seal at said fitting open bore by:
- exposing both at least one of a bonding surface and an abuttable surface of said flexible
   fitting to a solvent; and
  - 2) after said exposing step, contacting said bonding surface and said abuttable surface together to form said cell-tight second seal to seal said open bore.
- 10 92. The method of Claim 91 wherein, said forming step further includes after said exposing step and before said contacting step, washing said one of said bonding surface and said abuttable surface with a washing fluid.
- 15 93. The method of Claim 92 wherein,
  said inwardly facing surface of said fitting
  includes said bonding surface and said abuttable
  surface in opposed relation, and
- said contacting step is accomplished by compressing said bonding surface and said abuttable surface together.
  - 94. The method of Claim 92 wherein, said solvent is a swelling solvent and sterilization liquid for partially dissolving said bonding and abuttable surfaces of said fitting, and a non-solvent for said membrane, and

said washing fluid is a precipitating solvent and a humectant removal liquid.

95. The method of Claim 94 wherein,
30 said fitting is composed of polyurethane,
said solvent is ethanol, and
said washing fluid is water.

- 96. The method of Claim 93 wherein,
- said exposing step and said washing step are performed before said filling or depositing step.
- 97. The method of Claim 92 wherein,
- said exposing step and said washing step are accomplished by passing said solvent and said washing fluid through said open bore and said cavity.
  - 98. The method of Claim 97 wherein,

said passing step is further accomplished by 10 ultrafiltering said solvent through said membrane

- 99. A sealed, implantable, encapsulation device for providing a biologically active product or function to an individual, said encapsulation device comprising:
- a fitting including an access bore extending 15 through said fitting from an outer surface to an inner surface;
- a permselective, porous, membrane having an interior surface cooperating with said fitting inner surface to define at least a substantial portion of a storage cavity therebetween, said membrane being in substantially cell-tight dry sealing engagement with an engaging surface of said fitting;

living cells disposed in said storage cavity and capable of secreting a biologically active product or of providing a selected biological function to an individual, said membrane permitting passage of substances between the individual and cells required to provide said biological product or function;

- a plug member cooperating with a bonding surface 30 of said fitting, proximate said access bore, to form a cell-tight sealing engagement therewith to seal said access bore; and
  - a tether member coupled to said fitting.

100. The encapsulation device as defined in Claim 99 wherein,

said plug member includes a connector portion extending outwardly from said fitting, and

5 said tether member defines a bore formed and dimensioned for sliding receipt of said connector portion therein.

- 101. The encapsulation device as defined in Claim 100 wherein,
- one end of said plug member is frictionally engaged with an interior surface of said fitting defining said access bore, and the connector portion of said plug member is frictionally engaged with an interior surface of said tether defining the tether bore.
  - 102. The encapsulation device as defined in Claim 101 wherein.

said connector portion includes at least one annular ring portion adapted for frictional engagement 20 against the tether bore interior surface.

103. The encapsulation device as defined in Claim 102 wherein,

said connector portion includes a plurality of spaced-apart annular ring portions adapted for frictional engagement against the tether bore interior surface.

104. The encapsulation device as defined in Claim 99 wherein.

said plug member is composed of a radio-opaque 30 material.

105. The encapsulation device as defined in Claim 104 wherein,

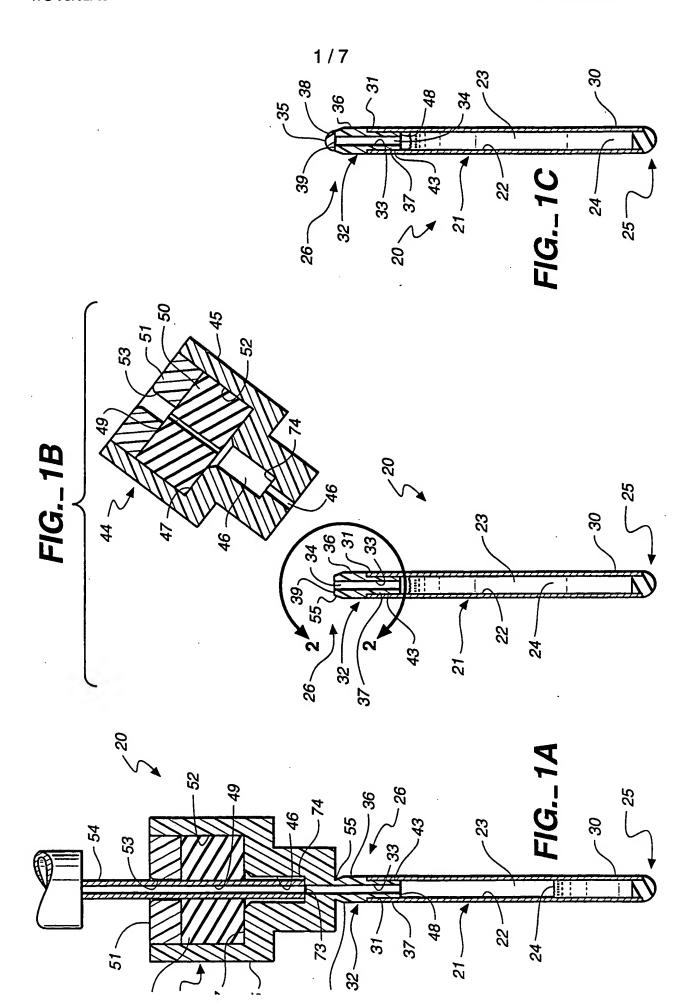
said radio-opaque material is titanium.

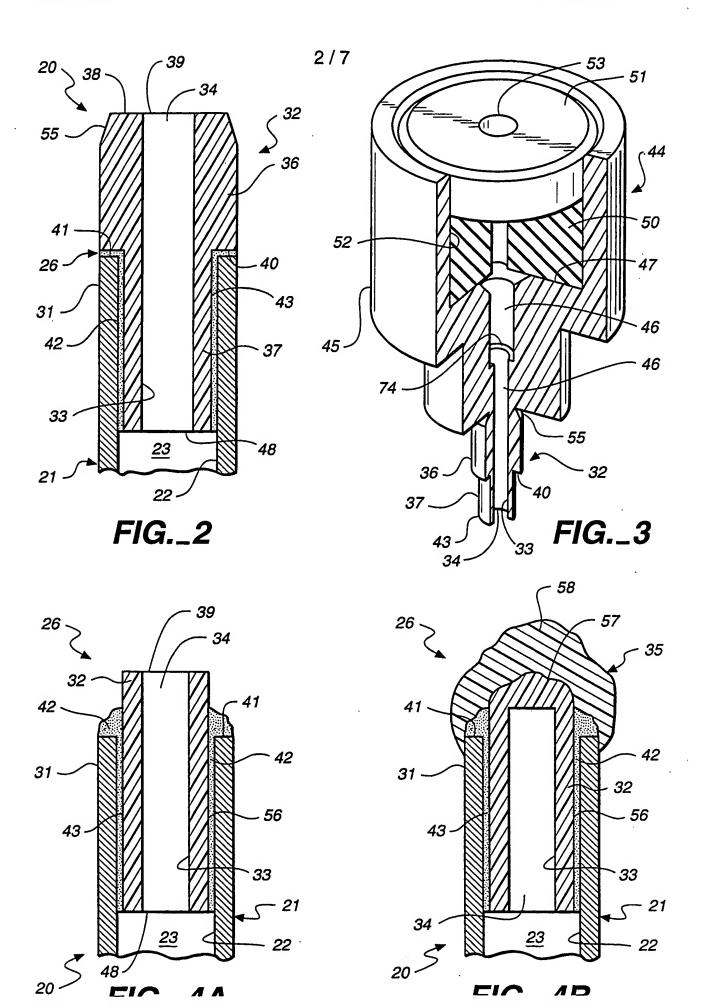
- 106. The encapsulation device as defined in Claim 99 wherein,
- a biocompatible adhesive is included at a juncture between said fitting and said tether.
  - 107. The encapsulation device as defined in Claim 106 wherein,

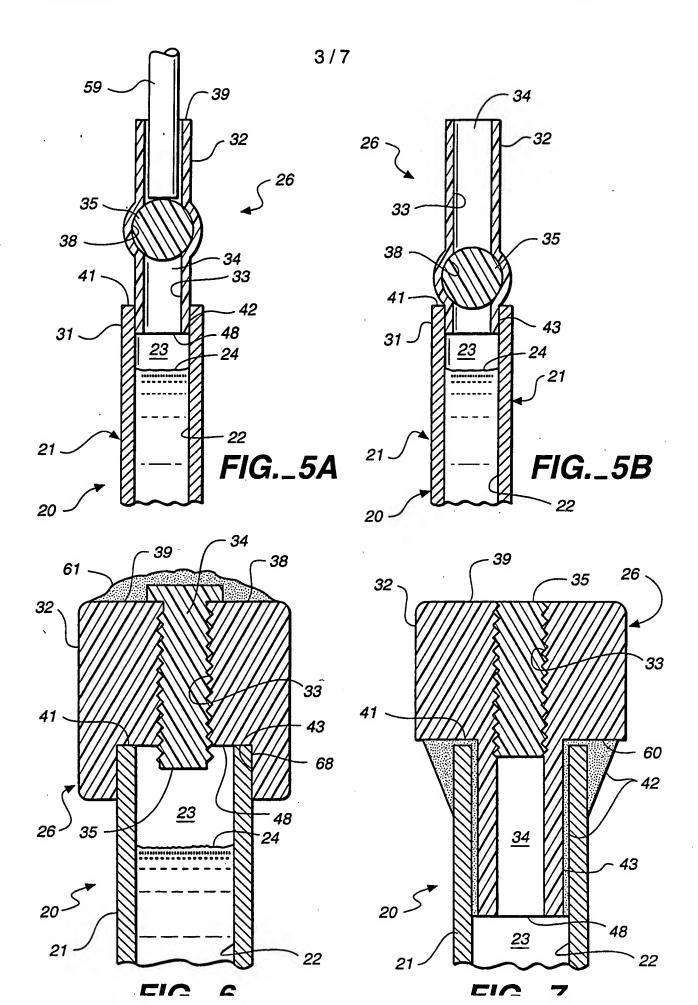
said connector portion includes a recess region formed for receipt of said adhesive therein.

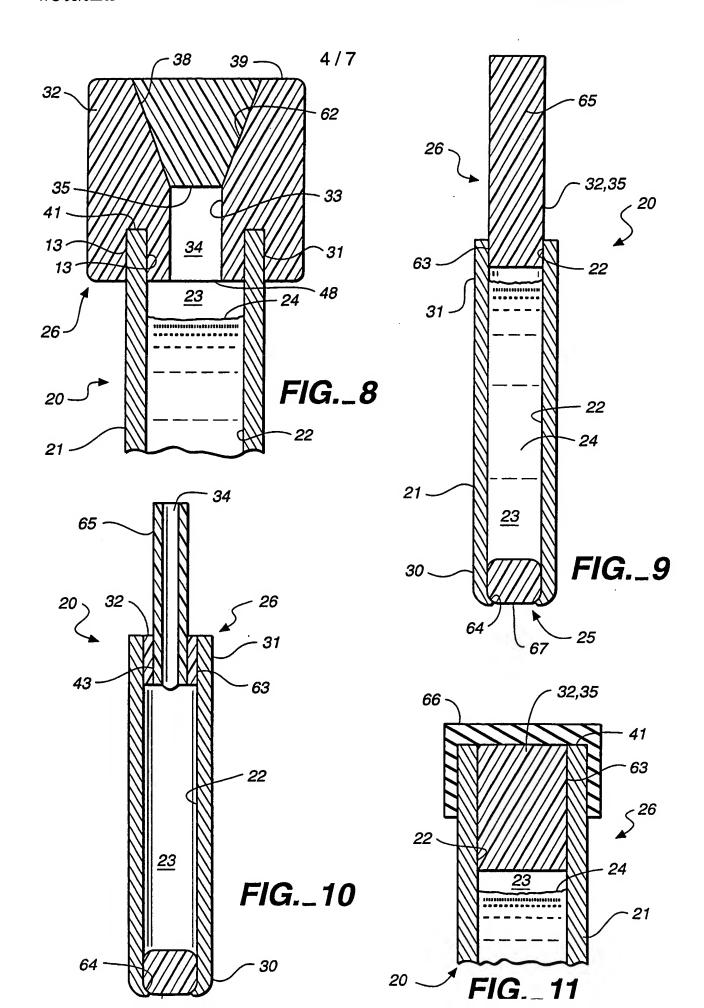
10 108. The encapsulation device as defined in Claim 108 wherein,

said recess region is annular.









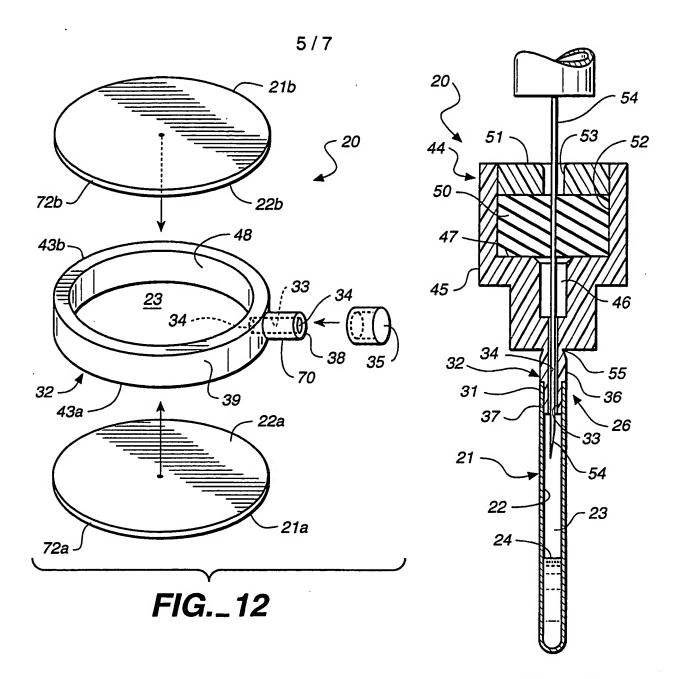


FIG.\_14

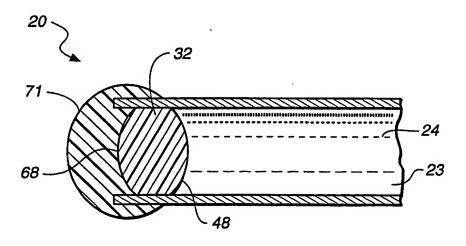
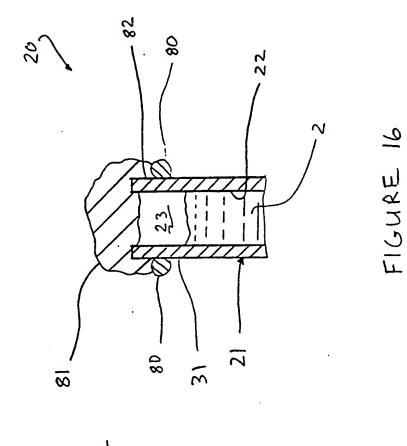
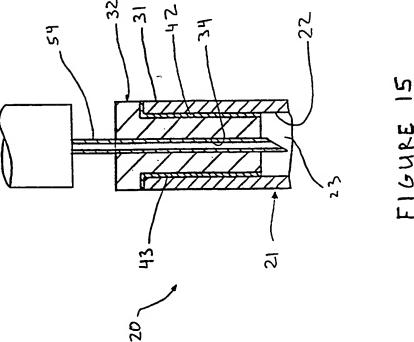
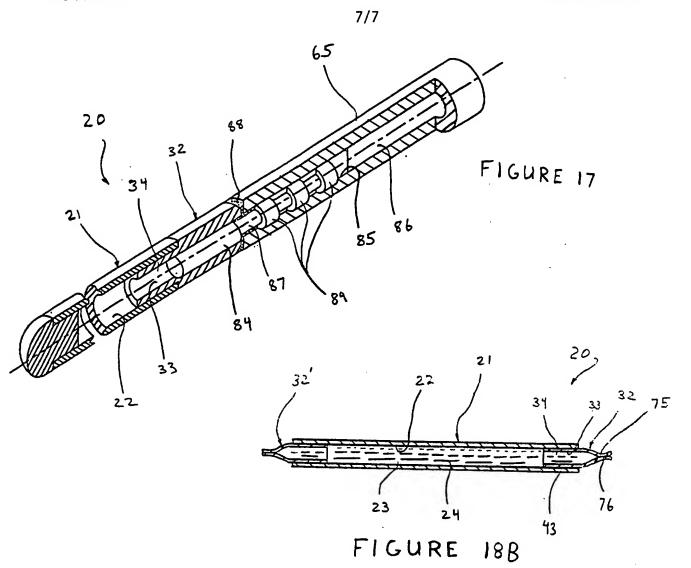


FIG.\_13







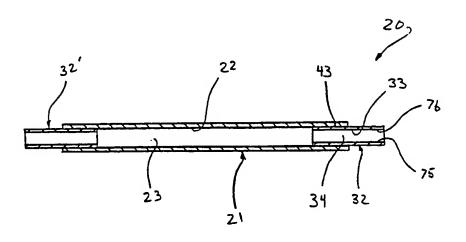


FIGURE 18A

## **PCT**

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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US
08/082,407 (CIP)

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(71) Applicant (for all designated States except US): CYTOTHER-APEUTICS, INC. [US/US]; Two Richmond Square, Providence, RI 02906 (US).

(72) Inventors; and

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(74) Agents: LOUIE, Michael, L. et al.; Flehr, Hohbach, Test, Albritton & Herbert, Suite 3400, 4 Embarcadero Center, San Francisco, CA 94111-4187 (US).

(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

#### **Published**

With international search report.

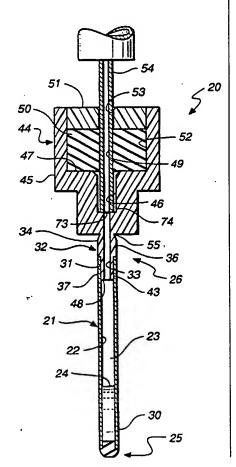
Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report: 16 February 1995 (16.02.95)

#### (54) Title: IMPLANTABLE MEMBRANE ENCAPSULATION APPARATUS

#### (57) Abstract

A sealed, implantable, encapsulation device (20) for diffusing a biologically active product or function to an individual which includes a substantially non-porous fitting (32) including an inner surface (33) defining an access port (34). A permselective, porous, membrane (21), having an interior surface (22), cooperates with the fitting inner surface (33) to form a storage cavity (23) therebetween. The membrane interior surface (22) is in substantially cell-tight dry sealing engagement with fitting (32) to seal cavity (23). Living cells (24) are disposed in the cavity (23) which are capable of secreting the biologically active product to an individual. The membrane (21) is of a material capable of permitting the passage of substances between the individual and cells required to provide the biological product or function. A plug member (35) is positioned in the access port (34) and seated in cell-tight sealing engagement with the fitting inner surface (33). A method for sealing the implantable encapsulation device (20) is also provided.



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## INTERNATIONAL SEARCH REPORT

International application No. PCT/US94/07015

A. CLASSIFICATION OF SUBJECT MATTER IPC(S): ASIM 31/00 US CL::604/57 According to International Patent Classification (IPC) or to both national classification and IPC  B. FIELDS SEARCHED  Minimum documentation searched (classification system followed by classification symbols) U.S.: 128/749-755, 762; 135/179, 180, 182, 240.22, 288; 264/4; 425/382.2; 604/8-10, 57, 93, 132, 175, 185, 890.1, 891.1  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE  Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  APS: Literature search,  C. DOCUMENTS CONSIDERED TO BE RELEVANT  Category*  Citation of document, with indication, where appropriate, of the relevant passages  Relevant to claim No.  X  US, A, 5,182,111, (AEBISCHER ET AL.), 26 January 1,8,23-25,29,44,45,57  2,5-7,9-12  Y  US, A, 4,402,694, (ASH ET AL.), 06 September 1983. 2,9-12  Y  US, A, 5,158,881, (AEBISCHER ET AL.), 27 October 1992. See entire document.						
US CL:66/457 According to International Patent Classification (IPC) or to both national classification and IPC  B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols)  U.S.: 128/749-755, 762; 135/179, 180, 182, 240.22, 288; 264/4; 425/382.2; 604/8-10, 57, 93, 132, 175, 185, 890.1, 891.1  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE  Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  APS: Literature search,  C. DOCUMENTS CONSIDERED TO BE RELEVANT  Category  Citation of document, with indication, where appropriate, of the relevant passages  Relevant to claim No.  X US, A, 5, 182,111, (AEBISCHER ET AL.), 26 January 1, 8, 23-25, 29, 44, 45, 57  2, 5-7, 9-12  Y US, A, 4,402,694, (ASH ET AL.), 06 September 1983.  See entire document.  Y US, A, 5,158,881, (A E BISCHER ET AL.), 27 October 1992. See entire document.						
B. FIELDS SEARCHED  Minimum documentation searched (classification system followed by classification symbols)  U.S.: 128/149-755, 762; 135/179, 180, 182, 240.22, 288; 264/4; 425/382.2; 604/8-10, 57, 93, 132, 175, 185, 890.1, 891.1  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE  Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  APS: Literature search,  C. DOCUMENTS CONSIDERED TO BE RELEVANT  Category*  Citation of document, with indication, where appropriate, of the relevant passages  Relevant to claim No.  X  US, A, 5,182,111, (AEBISCHER ET AL.), 26 January 1,8,23-25, 29,44,45,57  2,5-7,9-12  Y  US, A, 4,402,694, (ASH ET AL.), 06 September 1983.  See entire document.  Y  US, A, 5,158,881, (AEBISCHER ET AL.), 27 October 1992. See entire document.						
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U.S.: 128/749-755, 762; 135/179, 180, 182, 240.22, 288; 264/4; 425/382.2; 604/8-10, 57, 93, 132, 175, 185, 890.1, 891.1  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE  Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  APS: Literature search,  C. DOCUMENTS CONSIDERED TO BE RELEVANT  Category*  Citation of document, with indication, where appropriate, of the relevant passages  Relevant to claim No.  X. US, A, 5, 182,111, (AEBISCHER ET AL.), 26 January 1, 8, 23-25, 29, 44, 45, 57						
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See entire document.  Y US, A, 5,158,881, (A E BISCHER ET AL.), 27 October 1992. See entire document.						
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# INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/07015

Bo	k I O	bservations where certain claims were found unsearchable (Continuation of item 1 of first sheet)			
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:					
1.		Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:			
2.		Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:			
3.		Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).			
Bo	k II (	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)			
Th	is Inte	mational Searching Authority found multiple inventions in this international application, as follows:			
	Pl	case See Extra Sheet.			
		·			
1.		As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.			
2.	х	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.			
3.		As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:			
4.		No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:			
		•			
R	mark	on Protest			
		No protest accompanied the payment of additional search fees.			

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US94/07015

# BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING This ISA found multiple inventions as follows:

- A. Figs. 1A-1C, 2 and 3, drawn to claims 1, 2, 5-12, 23-25, 34-40, 44-47, 49, 57 and 78-81, classified in Class 604, subclass 57.
- B. Figs. 4A and 4B, drawn to claims 3, 4 and 13-16, classified in Class 604, subclass 57.
- C. Figs. 5A and 5B, drawn to claims 16-21, classified in Class 604, subclass 57.
- D. Fig. 6, drawn to claims 28, 30 and 31, classified in Class 604, subclass 57.
- E. Fig. 7, drawn to claims 26 and 27, classified in Class 604, subclass 57.
- F. Fig. 8, drawn to claim 22, classified in Class 604, subclass 57.
- G. Fig. 9, drawn to claims 29, 32 and 33, classified in Class 604, subclass 57.
- H. Fig. 11, drawn to claim 58, classified in Class 604, subclass 57.
- I. Figs. 12 and 13, drawn to claims 60-71, classified in Class 604, subclass 57.
- J. Figs. 14, drawn to claim 43, clasified in Class 604, subclass 57.
- K. Fig. 15, drawn to claim 76, classified in Class 604, subclass 57.
- L. Fig. 17, drawn to claims 99-108, classified in Class 604, subclass 57.
- M. Figs. 18A and 18B, drawn to claim 82-98, classified in Class 604, subclass 57.